

PCCC Risk Report June 2022 (extracted 16.06.22)

Strategic Objective	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	CCG Committee
6-Development of an agreed out of hospital strategy for Hull supporting local Primary Care Networks to determine models for transition to integrated provision as well as a new clinical leadership model which increases the emphasis of primary care at all	902	CCG practices unable to maintain a resilient primary care workforce resulting in reduced access to services and patient needs not being met. This risk is further exacerbated by the requirements of primary care with respect to the COVID-19 response, and in particular support to the vaccine programme, as well as the implications from the White Paper relating to next steps for integrated care systems.	Extreme Risk 14	Extreme Risk 14	Extreme Risk 14	Development and implementation of CCG primary care workforce strategy and associated initiatives eg. International GP Recruitment, PCN Ready, Physician Associate Schemes. Use of National Workforce Reporting System to monitor trends in primary care workforce. Primary Care Networks to be supported to develop new roles as outlined in NHS Long Term Plan and for which reimbursement available through Network DES. Development of H&M primary care workforce modelling as part of out of hospital care work-stream.	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Need for NHS Pensions issue to be addressed at a national level to address the increasing number of GPs retiring.	Adequate assurances in place.	Phil Davis - 27/05/22 - A number of practices have expressed an interest in the GP Fellowship schemes and in process of advertising with offer of fellowship programme. PCNs to develop workforce plans for 2022/23 by August. Support to be provided particularly to new PCNs. Phil Davis - 11/04/22 - VCCD consultation completed and requirement no longer in place. Practices expressed interest in GP Fellowship schemes. Update in ARRS resource available to PCNs in 2022/23 confirmed. Phil Davis - 14/02/22 - 3 GP Fellowship schemes to support recruitment & retention being made available for practices in HCV - CATALYST, Enhance scheme and Phoenix scheme. The Enhanced scheme is prioritised for under discussed areas in HCV including Hull. The VCCD requirements have been paused for further consultation. Further ARRS resource expected in 2022/23 to support PCN workforce development - confirmation awaited. Phil Davis - 07/12/21 - Risk rating increased from High to Extreme Risk to reflect current workforce challenges and in particular recognition of challenge regarding Admin & Clerical / Reception workforce recruitment and retention in light of current access challenges. The Winter Access Fund is being used to support workforce including supporting primary care with recruitment. The Vaccination as a condition of deployment guidance has been received and its implications are being considered by practices - may create further pressure on the workforce. Phil Davis - 13/10/21 - Recruitment to a number of practice roles remains challenging. PCN refreshed plans submitted at end of August. Further work underway with PCNs where there is a projected shortfall in the utilisation of ARRS resources available. Work on going to develop potential hub and spoke model to increase GP training opportunities in practices. NHS E International GP recruitment programme still underway. Phil Davis - 12/08/21 - PCN plans for Phase 3 COVID Vaccination programme include a range of staffing models including the Trust bank; all plans reviewed by CCG to assess risk for primary care. ARRS recruitment on going with PCNs refreshing plans for 2022/22 by end August and for 2021/22 - 2023/24 by end October. PCNs working with NHS E regarding accessing resources for initiatives to support recruitment of primary care workforce. Hub and spoke GP Training model being explored to support placement of GP trainees in non-training practices.	29/07/2022	Davis, Phil	Primary Care Commissioning Committee
6-Development of an agreed out of hospital strategy for Hull supporting local Primary Care Networks to determine models for transition to integrated provision as well as a new clinical leadership model which increases the emphasis of primary care at all	915	There is significant patient and public opposition to plans for the development of new models of care resulting in services not being sustainable.	High Risk 12	High Risk 12	High Risk 12	Development of a Communications and Engagement plan with patients and the public for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub group and the Primary Care Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 27/05/2022 - CCG Comms and Engagement Team continue to support practices regarding potential service changes and associated engagement activity. Phil Davis - 11/04/2022 - CCG Comms and Engagement Team continue to support practices regarding any potential service changes. As part of Network DES PCNs required to engage with patients regarding Enhanced Access provision from 2022 - CCG to offer support. Phil Davis - 14/02/2022 - Primary Care Comms and Engagement Group meetings re-established. New My City My Health My Care edition being planned. Comms and Engagement Team supporting practices where required regarding any potential service changes. Phil Davis - 07/12/2021 - Engagement plot continuing. CCG offering support to practices where required regarding patient engagement. HCV Winter Access Fund plans include public facing communications regarding primary care. LMC also running a public campaign regarding primary care. Phil Davis - 12/10/2021 - Engagement plot commenced with 5 pilot practices in Hull (1 per PCN) to develop the toolkit for use throughout primary care. Media coverage of access to primary care causing challenges for staff with reports of abuse particularly aimed at reception and telephony staff. Phil Davis - 12/08/2021 - Work continuing on engagement pilot. Phil Davis - 15/06/2021 - Hull CCG selected as pilot CCG for 'General Practice and Healthcare Partners Engagement Pilot' - aim is to support practices to provide inclusive access routes to their services and to engage with patients about these, promoting greater patient understanding and confidence in each route and greater use, where appropriate, of digital access channels. Target outcomes of the pilot include: improved patient understanding and experience of accessing GP care services; increased patient use of online consultation (OC) requests by patients; improved patient satisfaction with practice communications and improved satisfaction of practice staff with patient engagement as a result of the pilot. Phil Davis - 12/04/2021 - Further engagement work in Hull undertaken - preliminary findings to be presented to June 2021 PCCC.	29/07/2022	Davis, Phil	Primary Care Commissioning Committee
6-Development of an agreed out of hospital strategy for Hull supporting local Primary Care Networks to determine models for transition to integrated provision as well as a new clinical leadership model which increases the emphasis of primary care at all	942	Lack of capacity/capability within Primary Care Networks and the CCG to support Primary Care Networks to deliver the Long Term Plan.	High Risk 8	High Risk 8	High Risk 12	Core Primary Care Team in place within CCG - Strategic Lead, Head of Commissioning - Integrated Delivery Commissioning Manager, Commissioning Lead - Quality Lead and NHS E/ Assistant Contracts Manager. 5 PCNs approved in Hull with Clinical Directors appointed. Support roles approved by CCG for each PCN - Lead Nurse, Clinical Pharmacist and BI role. Wider support for PCNs from CCG teams - eg. BI, Comms & Engagement. PCN self-completion of Maturity Matrix and GP Plans. Resource available through HCV STP for PCN and Clinical Director development. Humber-side LMC Clinical Director development offer.	Reports to Primary Care Commissioning Committee regarding PCN establishment and development. Regular communication with PCNs and escalating of any issues to SLT if required.	Joint work with both Local Medical Committee and NHS E/ to support development of PCNs.	Adequate controls in place.	Adequate assurances in place.	11/04/2022 - Network DES requirements for 2022/23 confirmed by NHS England and PCNs received. CCG staff at Place and wider NHS E and ICS Team will continue to support PCNs in delivery. 14/02/2022 - CCG continues to work with PCNs to support development and delivery of Network DES requirements. Support offer remains in place across current CCG teams and will be developed as part of future ICS Place arrangements. 07/12/2021 - Work continues with PCNs - Clinical Directors and Lead Managers to support development including development of future place arrangements and PCNs role within them. 13/10/2021 - HCV ICS and Place plans, alongside Humber Primary Care Collaborative development incorporating support required for PCNs. 12/08/2021 - CCG continues to work with Humber CCGs and NHS E colleagues to support PCNs. PCNs developing plans for utilisation of 2022/22 PCN OD monies. Model of support for PCNs being developed as part of transition to ICS. 24/06/21 - Phil Davis - Risk rating to be discussed with PCCC in June 2021 and will be updated accordingly. 15/06/21 - Phil Davis - Closer working developing across 4 Humber CCGs and with NHS E colleagues in relation to primary care and delivery of 2022/22 plans. Risk however remains during period of transition. 12/04/21 - Phil Davis - Risk rating increased due to a number of CCG staff leaving roles and reduced capacity in CCG in short-term. Plans in development to cover gaps and review staff working across Hull & NL CCGs. 15/02/21 - Phil Davis - Meetings between CCG PC Team and PCN Clinical Directors continuing. Additional support for Clinical Directors provided for 04/2022/21 by H&M. Link managers continue to support PCNs. Bean Ltd PCN recruiting a secondie to a manager role to support PCN development. Practices being supported through CCG and LMC regarding business continuity plans. 07/10/20 - Phil Davis - Regular meetings held between PCN Clinical Directors and CCG Primary Care Team and PCN Link Managers. Options for further CCG support for PCN managers being explored. Collaborative Hull and East Riding work continues. 13/08/20 - Phil Davis - PCN Link Managers continuing to support PCNs regarding both COVID and other work. Collaborative working between Hull and ER CCGs has resulted in some economies of scale in supporting PCNs.	29/07/2022	Davis, Phil	Primary Care Commissioning Committee
9 - Maintain support for the effective local planning and response to the Coronavirus Pandemic, ensuring that positive innovations are retained, improved and generalised.	957	Risk assessment of staff within general practice, in line with the NHS England and NHS Improvement "Risk assessments for at-risk staff groups" letter of 26th June 2020, and the necessary mitigating actions may result in some practices having reduced capacity to deliver some services or being unable to deliver some services (eg. face-to-face consultations).	High Risk 8	High Risk 8	High Risk 12	Risk assessment tools and guidance available from NHS England and NHS Improvement	Situation reports provided by practices which include outcomes of staff risk assessments	Strep returns to NHS England and NHS Improvement	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 27/05/2022 - OPEL reporting continuing on daily basis with CCG support offered where required. Reduced staffing remains a challenge identified by practices. Phil Davis - 11/04/2022 - OPEL reporting continuing on daily basis. Further work underway to increase practice reporting. CCG support offered where practices have specific pressures in delivering services. Phil Davis - 14/02/2022 - OPEL reporting daily Monday - Friday continuing. Engaged with HCV work on response plans. Main reason for reporting OPEL levels indicating pressure in primary care remains staff absence. Phil Davis - 08/12/2021 - Implementation of daily OPEL reporting by practices underway - includes development of system plans for support and mutual aid when required. Phil Davis - 12/10/2021 - CCG receiving streps from practices by exception. Planning to extend use of the local system App for OPEL reporting to general practices. CCG continues to support practices where absences create capacity problems. Phil Davis - 12/08/2021 - National General Practice SOP withdrawn in July. CCG continues to receive strep information from practices through PCNs - levels of COVID related absences remain low. Phil Davis - 15/06/2021 - New National SOP received May 2021. Weekly strep process remains with practices / PCNs reporting by exception. All Hull practices reporting Green for a number of months - risk rating reduced. Phil Davis - 12/04/2021 - Practices continue to deliver general practice SOP during recovery phase. Nationally government has confirmed advice that shielding to be paused from 1/04/21. A letter was sent to all GP practices confirming change to shielding advice, requiring employers to refresh risk assessments of clinically extremely vulnerable staff, and setting out principles for the support of staff. Phil Davis - 15/02/2021 - Practices continue to deliver services in line with national general practice SOP. CCG supporting practices with accommodation to support social distancing where necessary. Offer of Covid-19 vaccination to primary care staff in line with JCVI priority groups undertaken. Phil Davis - 07/10/2020 - Work on-going with practices to understand their Risk Assessments and ensure all staff have been reviewed.	29/07/2022	Davis, Phil	Primary Care Commissioning Committee
6-Development of an agreed out of hospital strategy for Hull supporting local Primary Care Networks to determine models for transition to integrated provision as well as a new clinical leadership model which increases the emphasis of primary care at all	975	There is a risk that a lack of available primary care estate will result in PCNs not being able to fully utilise their Additional Roles Recruitment Scheme allocation.	High Risk 8	High Risk 8	High Risk 8	City Care and Primary Care Support Team working with PCNs to establish estate strategies. Development of options for each PCN to increase primary care estate available to support expansion of Additional Roles.	Submission of plans and priorities to NHS Humber & North Yorkshire Primary Care Capital Group Reports to CCG Primary Care Commissioning Committee and any subsequent ICB Governance Groups as required.	Reporting to NHS England regarding estates schemes in order to access potential capital and/or revenue support for estate developments.	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 27/05/2022 - April PCCC received 3 PCN estates documents with further documents to go to June PCCC. Work underway with PCNs to identify opportunities to develop estate solutions, particularly utilising LIFT estate, to facilitate ARRS recruitment. Potential future requirements being fed into H&M primary care capital pipeline. Phil Davis - 11/04/2022 - Primary Care Commissioning Committee held in February 2022 agreed a risk should be developed relating to the lack of primary care estate potentially resulting in an inability of PCNs to fully utilise their available resource for Additional Roles recruitment. Risk drafted for consideration by April 2022 Primary Care Commissioning Committee.	29/07/2022	Davis, Phil	Primary Care Commissioning Committee

Risk scoring = consequence x likelihood (C x L)  
The risk score is calculated by multiplying the consequence score by the likelihood score.

Consequence/Severity
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Likelihood of occurrence	Insignificant		Moderate	Major	Extreme
	1	2			
Rare 3	1	2	3	4	5
Unlikely 2	3	4	5	6	10
Possible 3	5	6	9	12	15
Likely 4	7	8	12	16	20
Almost Certain 5	9	10	15	20	25