

COMBINED INTEGRATED AUDIT AND GOVERNANCE COMMITTEE & FINAL ACCOUNTS MEETING

MEETING HELD ON WEDNESDAY 25 MAY 2022

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Combined Integrated Audit and Governance Committee and Final Accounts meeting held on 25 May 2022.

AUDIT

EXTERNAL AUDIT

Minute No. 6 EXTERNAL AUDIT UPDATE REPORT

Assurance for this item was taken under item 10.3 as part of the External Audit Manager's Audit Completion Report Update Letter.

INTERNAL AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured by the Internal Audit Progress Report which provided a high level of assurance across all areas and had achieved this standard for a second consecutive year. Since the last IAGC meeting in March one audit report had been issued in final as follows:

- Mental Capacity Act - Liberty Protection Safeguards (MCA-LPS) which was an Advisory benchmarking report. Work was ongoing on the Key Financial Systems and the follow up work for Continuing Healthcare.

Minute No. 7.3 COUNTER FRAUD PROGRESS REPORT

The Board can be assured by the continued counter fraud awareness work taking place and the success of the fraud prevention masterclasses. No new fraud referrals had been received since the last meeting and all work against the Counter Fraud Workplan for 2021/22 was now complete.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCE REPORT

The Board can be assured that the CCG had met its financial targets for the financial year 1 April 2021 to 31 March 2022.

Minute No. 8.1i DELEGATED PRIMARY CARE OVERPAYMENTS

The Board can be assured that measures had been put in place to address the issue of recently identified overpayments made to Hull practices by Primary Care Support England (PCSE). NHSE provided assurance that a revised procedure had now been introduced to strengthen control and minimise the risk of a similar issue reoccurring.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes remained in place to identify and manage risks on the Risk Register. The IAGC were kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Register.

IAGC approved the addition of one new extreme risk 973 and two new high risks 974 and 975 (that is 8 or above), full details of which were shown in blue on the risk register.

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK

The Board can be assured by the final iteration of the BAF as applicable to the first quarter of 2022/23 and the agreed approach to adopt what was in place for 2021/22, update the existing strategic objectives and associated risks for 2022/23 and to ensure this would be a light touch review.

Minute No. 9.4 ASSURANCE UPDATE IN RELATION TO CLOSE-DOWN AND TRANSITION

The Board can be assured that the IAGC continued to be updated on the formal systems and process and controls that were in place for both close-down and transition to the new organisation along with the key transition risks and some of the live matters that were being worked through.

Minute No. 9.5 DECLARATIONS OF INTEREST FOR COMMITTEE MEMBERS, EMPLOYEES AND RELEVANT OTHERS, BOARD MEMBERS AND COUNCIL OF MEMBERS

The Board can be assured that, as per the requirements of the CCG's Standards of Business Conduct, all declarations of interest were managed, as appropriate, in accordance with the CCG's Conflict of Interest policy.

Minute No. 9.6 FREEDOM OF INFORMATION REQUESTS Q4 REPORT

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received. During the period 1 January to 31 March 2022 performance had remained extremely strong and there had been no missed requests and the CCG had been fully compliant with the 20-day response deadline.

Minute No. 9.7 DECLARATIONS OF GIFTS AND HOSPITALITY

The Board can be assured that the recording of declarations of gifts and hospitality were recorded in accordance with the NHS England Guidance on Managing Conflicts of Interest.

Minute No 9.9 POLICIES

The Interim Director of Nursing & Quality presented the above policy to seek approval to extend the review date for the Security Management Policy to 31 October 2022.

Due to the quoracy of this meeting, IAGC members recommend to the Board approval of the extension of the Security Management Policy.

NHS HULL CCG FINAL AUDITED ACCOUNTS

Minute No. 10.2 NHS HULL CCG ANNUAL REPORT 2021/22 & ANNUAL GOVERNANCE STATEMENT (AGS)

The Board can be assured by the updated AGS, the contents and updates provided for NHS Hull CCG's Annual Report 2021/22 and the satisfactory assurances received from NHSE that all necessary elements had been covered.

Minute No. 10.3 AUDIT COMPLETION REPORT UPDATE LETTER

The Board can be assured of the findings of the work completed and the anticipated results of the outstanding minor testing work which had indicated that an unqualified opinion on the accounts and a good view of value for money arrangements would be given.

Minute No. 10.4 FINAL HEAD OF INTERNAL AUDIT OPINION 2021/22

The Board can be offered a high level of assurance that there was a sound system of governance, risk management and internal control designed to meet the organisation's objectives and that controls were generally being applied consistently.



Karen Marshall (Chair)
Integrated Audit and Governance Committee
June 2022