

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 11 JANUARY 2022

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 11 January 2022.

AUDIT

EXTERNAL AUDIT

Minute No. 6.1 EXTERNAL AUDIT UPDATE REPORT

The Board can be assured that initial planning in relation to the Annual Accounts 2021/22 had commenced. With regard to the close-down arrangements, it was proposed that a singular consistent approach to the year-end work be adopted and working collaboratively across the three Humber CCGs wherever possible.

INTERNAL AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured that work was progressing well against the 2021/22 Internal Audit Plan.

A final audit report on Conflicts of Interest had provided a High opinion.

The days which had been allocated to the Data Security Protection Toolkit (DSPT) which was not being audited in 2021/22 would now be used to support the work towards transition to the ICS

Minute No. 7.3 COUNTER FRAUD PROGRESS REPORT

The Board can be assured by the amount of counter fraud awareness work that continued to take place to make new starters aware, and remind existing staff, of the many different areas of potential fraud risk

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that that at this stage in the financial year the CCG is forecasting that it will achieve financial balance for 2021/22 along with all other financial targets.

Significant resources continued to be deployed to the Covid pandemic and these payments were being scrutinised carefully.

Planning for 2022/23 and beyond had commenced and further technical guidance around this was awaited.

GOVERNANCE

Minute No. 9.1i TENDER WAIVER

The Board are advised that the IAGC had formally noted the approved tender waiver in respect of a Direct Award made to KPMG to facilitate a HCV Ignition Event/People and Workforce 5-Year Strategy which had taken place on 9 December 2021

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

With regard to new Risk 970 – Loss of capacity and organisational memory as staff leave roles at NHS Hull CCG, this risk would be updated and expanded following debate at the January Board meeting.

Risk 927 - the E-coli trajectory was now on track and it was hoped that this risk could be taken off the Risk Register in the next couple of months if the position remained the same. Another risk was going to be added to the Risk Register which related to Klebsiella.

Risk 911 which related to staffing at Humber Foundation Trust had been closed and as staffing was a risk for all our providers, a general risk would be added for all our providers of staffing which would be monitored at Quality meetings.

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK

A Board level discussion would take place in terms of the CCG's approach to the BAF in the first quarter of 2022/23 and what it would mean for the organisation moving forward.

Minute No. 9.4 ASSURANCE UPDATE IN RELATION TO CLOSE-DOWN AND TRANSITION

The Board can be assured that the IAGC continued to be provided with an update on the range of work that was gathering pace for both close-down and transition to the new organisation.

As part of the overall transition programme work monthly submissions were being made on the due diligence data information. Updated guidance was awaited from NHS England in relation to the revised timescales and the impact on CCG closedown and readiness for the ICB.

Minute No. 9.5 FREEDOM OF INFORMATION REQUESTS Q2 REPORT

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received. During the period 1 July to 30 September 2021 performance had remained extremely strong and there had been no missed requests and the CCG had been fully compliant with the 20-day response deadline.

Minute No. 9.6 AUDIT UPDATE REPORT - CONTINUING HEALTHCARE (NHS-CCG)

The Board can be assured that good progress had been made against the management actions identified in the audit report to assure the CCG relationship with the Local Authority in

the delivery of Continuing Healthcare (CHC). The proposed appointment of some dedicated project support would help move this work forward
The long-term plan was for CHC to come into the CCG/ICS and a decision would need to be made when to move people over from CHCP.

Minute No. 9.7.1 FREEDOM OF INFORMATION AND ENVIRONMENTAL INFORMATION REGULATIONS POLICY

The Board are advised that the IAGC had approved the updated Freedom of Information and Environmental Information Regulations Policy. No material changes had been made to the policy and the amendments made had comprised of minor housekeeping elements, updates to reflect legislation/guidance changes and some changes to language.



Karen Marshall (Chair)
Integrated Audit and Governance Committee
8 March 2022