



## **INTEGRATED AUDIT AND GOVERNANCE COMMITTEE**

**MEETING HELD ON 6 JULY 2021**

### **ASSURANCE REPORT**

#### **INTRODUCTION**

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 6 July 2021.

#### **AUDIT**

##### **EXTERNAL AUDIT**

###### **Minute No. 6.1 EXTERNAL AUDIT UPDATE REPORT**

The Board can be assured that, with regard to the audit of the annual accounts 2020/21, an unqualified audit opinion had been issued on 9 June 2021 and the group return to NHS England had been submitted on the 22 June 2021.

Work on the Value for Money (VFM) commentary would be finalised in time for the September IAGC meeting and there were no matters of concern to raise at this stage.

##### **INTERNAL AUDIT**

###### **Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT**

The Board can be assured that work is progressing against the Internal Audit Plan for 2021/22.

A final report had been issued on the Data Security and Protection Toolkit which had provided a high opinion, this had been a positive outcome based on the arrangements in place to meet the standards, and also the evidence seen to verify the CCG's self-assessment.

###### **Minute No. 7.3 COUNTER FRAUD PROGRESS REPORT**

The Board can be assured from the Annual Counter Fraud Report for 2020/21 by the amount of counter fraud work that continued to take place.

The Counter Fraud Functional Standards Return (CFFSR) 2020/21 had been submitted well within the deadline. This had been a benchmarking exercise for this year, as some of the new requirements had not been part of the original standard for last year and was based on working to the full requirements for next year. The Counter Fraud Workplan for 2021/22 had been developed to comply with the new counter fraud standards which came out on the 1 April 2021.

A paper would be presented to the September IAGC meeting with regard to the new Violence Prevention and Reduction Standard which related to pro-active steps that NHS organisations should take in relation to assessing the risk and violence in relation to staff. Discussions were taking place around adopting a proportionate approach to this across the 3 CCGs in a consistent manner.

## **FINANCIAL GOVERNANCE**

### **Minute No. 8 FINANCE REPORT**

The Board can be assured that at this early stage in the financial year the CCG is forecasting that it will achieve the required financial position for H1 and will work with system partners to ensure that this is the case for the remainder of the financial year.

## **GOVERNANCE**

### **Minute No. 9.1 WAIVING OF PRIME FINANCIAL POLICIES**

The Board are advised that the IAGC has noted the following two approved tender waivers. These were Humber-wide pieces of work in relation to the ICS development, with particular focus on the Humber area.

- **Humber Workforce and Comprehensive Spending Review**  
 Proposed Provider: KPMG LLP  
 Contract Value: £217,375 (Cost to be shared between Hull, ERoY, N Lincs and NE Lincs CCGs)  
 Contract Period: End of initial period 31 August 2021  
 End of extension period 31 December 2021
- **Executive Team Development – T2 Partnership Programme**  
 Proposed Provider: T2 the People Performance People  
 Contract Value: £25,020 + VAT (Cost to be shared between Hull, ERoY, N Lincs and NE Lincs CCGs)  
 Contract Period: 1 July 2021 – 31 March 2022

### **Minute No. 9.2 CORPORATE RISK REGISTER**

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

IAGC members requested that Risk 962 and Risk 927 remain on the Risk Register until the new risks had been re-cast. The Re-cast risks would be brought to the IAGC in September

### **Minute No. 9.3 BOARD ASSURANCE FRAMEWORK 2021-22**

The Board can be assured that the IAGC have been briefed on the 9 strategic objectives for the CCG for 2021/22, along with the outcome measures across each of the strategic objectives and the current primary risks identified.

IAGC Members were provided with the opportunity to comment on these and to provide any thoughts in terms of additional risks, assurances and next steps for inclusion on the on-going BAF.

### **Minute No. 9.4 FREEDOM OF INFORMATION REQUESTS Q4 AND YEAR-END REPORT**

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received. During the period 1 January 2021 to 31 March 2021 there had been no missed requests and all requests processed in year 2020/2021 had been completed well within the statutory 20 working day deadline. It was testament to the FOI

team and the CCG staff that we continued to maintain such high standards.

**Minute No. 9.6 INDIVIDUAL FUNDING REQUESTS ANNUAL REPORT 2020/21**

The Board can be assured that the IAGC had considered the Individual Funding Request (IFR) Annual Report 2020/21 in its current form; however, as there were still a number of points of accuracy and amendments that needed to be addressed within the report IAGC members delegated approval of the final IFR Annual Report 2020/21 to the IAGC Chair as part of the IFR Panel and reference would be made at the September IAGC meeting to confirm if the IFR Annual Report had been approved or not in order for this to be formally minuted.

**Minute No. 9.7 NHS HULL CCG HEALTH, SAFETY AND SECURITY ANNUAL REPORT 2020/21**

The Board can be assured in respect of the current position of the organisation in relation to its Health, Safety and Security compliance and activity in working in accordance with the legislation of the Health and Safety at Work Act 1974. The Covid-19 pandemic had brought about a requirement for additional health and safety measures to be in place and all areas of work that had needed to be progressed in light of the pandemic had been achieved successfully and there were no outstanding actions in relation to the risk assessments. This work would continue to progress in light of any further government guidance.

**Minute No. 9.8 RESEARCH AND DEVELOPMENT ANNUAL REPORT 2020/21**

The Board can be assured by the continued commitment to Research and Development and both the COVID 19 response and the non – COVID development work that has been progressed in 2020-21.

**Minute No. 9.9 REVIEW OF THE CCG'S EMERGENCY PREPAREDNESS, RESILIENCE AND RESPONSE TO THE NATIONAL EMERGENCY 2020/21 RELATING TO THE COVID 19 PANDEMIC**

The Board can be assured by the activity that had taken place throughout the pandemic as a result of the work of the Joint EPRR/BCM Group. The group had worked very hard, along with system partners, to manage through the pandemic. The move to major incident arrangements and the huge amount of work involved in making sure that everything needed was covered, was credit to the organisation.

**Minute No. 10.1 REVIEW OF INTEGRATED AUDIT AND GOVERNANCE COMMITTEE WORKPLAN 2021-2022**

The Board can be assured that the IAGC will receive regular updates with regard to progress and systems and processes in place in relation to close-down and transition.

**Minute No. 10.4 REBATE AGREEMENT RENEWALS**

The Board can be assured that, following the endorsement of the Planning and Commissioning Committee, the Integrated Audit and Governance Committee approved the renewal of rebate agreements for Apidra 2021, and Insuman 2021.



**Karen Marshall (Chair)**  
**Integrated Audit and Governance Committee**  
**6 July 2021**