

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE**MEETING HELD ON 11 MAY 2021****ASSURANCE REPORT****INTRODUCTION**

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 11 May 2021.

AUDIT**EXTERNAL AUDIT****Minute No. 6.1 EXTERNAL AUDIT UPDATE REPORT**

The Board can be assured that the audit of the draft annual accounts had commenced and was progressing well with good support being provided from the team. There were no significant or major issues to report.

Further wording would be included to clearly articulate the role the CCG had taken, in line with the national arrangements, in order to provide the reader of the accounts with a clear understanding of the context reflected within allocation and expenditure for the CCG. A regional view was being sought to gain consistency around an agreed approach to this.

The final audited accounts would be reviewed by IAGC members on 26 May 2021, prior to submission to the CCG Board on 28 May 2021 for final sign off.

INTERNAL AUDIT**Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT**

The Board can be assured of the progress made against the Internal Audit plan for 2020/21. A final Conflicts of Interest report had been issued which had provided a High opinion. Work was well underway in relation to the two remaining reports on Key Financial Controls and Compliance with the DSP Toolkit, which would feed into the Head of Internal Audit Opinion.

Minute No. 7.3 DRAFT HEAD OF INTERNAL AUDIT OPINION STATEMENT

The Board can be assured that a final HoIAO had been submitted to the extraordinary IAGC meeting held on 26 May 2021 which had provided a significant level of opinion.

Minute No. 7.4 COUNTER FRAUD PROGRESS REPORT

The Board can be assured by the amount of counter fraud work that had taken place. All work was now complete against the Counter Fraud Workplan for 2020/21 which had now been closed.

The Counter Fraud Workplan for 2021/22, which had been approved by the IAGC, had been

developed to comply with the recently published Government Functional Counter Fraud Standard.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

A challenging process had been undertaken to put together a financial plan for H1 of 2021/22 (the first 6 months of the financial year). The Chief Finance Officer had taken the lead role across the Humber in co-ordinating and submitting the plan for H1 and provided assurance that we would be able to live within the financial envelope that we are given as this was predicated on significant non re-current resource. The themes and mechanisms for flowing money had continued into the first half of this financial year and financial guidance for H2 (the second half of the year) was still awaited.

A more formal update on 2021/22 financial planning would be provided to the next meeting of the Governing Body and the IAGC to ensure that all were fully sighted

Minute No. 8.3 HULL CCG PRIMARY CARE REBATE AGREEMENT RENEWAL FOR BIQUELLE 2021

The Board can be assured that the IAGC had approved the Biquelle Rebate agreement renewal 2021, following recommendation by the Planning and Commissioning Committee.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

IAGC approved that new Risks: 960, 961 and 962 which centred around Continuing Healthcare be added to the Risk Register.

A thorough review and discussion had taken place with regard to the key risks, particular in relation to the staffing issue at Humber and provider waiting list management in order to gain assurance on the work taking place. Further updates would be provided in the Risk Register for the next meeting.

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK 2020-21

The Board can be assured that IAGC members had reviewed and commented on the Board Assurance Framework for 2020/21. The 2020-21 BAF would remain extant until a new BAF had been received and signed off.

Minute No. 9.4 DECLARATIONS OF INTEREST FOR COMMITTEE MEMBERS, EMPLOYEES AND RELEVANT OTHERS, BOARD MEMBERS AND COUNCIL OF MEMBERS

The Board can be assured that the CCG has received updated Declarations of Interest for Committee Members, Employees and Relevant Others, Board Members and Council of Members. There was a rigorous process in place to pro-actively assess and mitigate these and that had been confirmed by the High audit opinion received in relation to the CCG's conflicts of interest management.

Minute No. 9.6 DECLARATIONS OF GIFTS AND HOSPITALITY

The Board can be assured that the CCG maintains a register of all declarations of gifts and hospitality which is regularly reviewed by the IAGC. One declaration had been made since the last report to the IAGC which had been accepted and donated as a charity raffle prize as it was not in line with CCG policy



Karen Marshall (Chair)
Integrated Audit and Governance Committee
6 July 2021