

Primary Care Commissioning Committee Risk Report - June 2021 (extracted 15.06.21)

Strategic Objective	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	CCG Committee
6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision	902	CCG practices unable to maintain a resilient primary care workforce resulting in reduced access to services and patient needs not being met. Mt Napier 19.02.21 This risk is further exacerbated by the requirements of primary care with respect to the COVID-19 response, and in particular support to the vaccine programme, as well as the implications from the White Paper relating to next steps for integrated care systems.	High Risk 12	High Risk 12	Extreme Risk 16	Development and implementation of CCG primary care workforce strategy and associated initiatives e.g. International GP Recruitment, PCN Ready, Physician Associate Schemes, Use of National Workforce Reporting System to monitor trends in primary care workforce. Primary Care Networks to be supported to develop new roles as outlined in NHS Long Term Plan and for which reimbursement available through Network DES. Development of HC&V primary care workforce modelling as part of out of hospital care work-stream.	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Need for NHS Pensions issue to be addressed at a national level to address the increasing number of GPs retiring.	Adequate assurances in place.	Phil Davis - 15/06/21 - Pilot agreed to progress Mental Health Practitioner role. HCV ICS developing range of support to PCNs to assist in recruitment initiatives. At end May 21 3 of 5 PCNs have been successful in further ARRS recruitment. Recruitment challenges remain given scale of recruitment planned across HCV areas. Phil Davis - 12/04/21 - PCNs continuing to develop ARRS plans including for new roles included from 2021/22. In order to deliver Covid-19 vaccine programme to Cohorts 10-12 PCNs have submitted assurance regarding workforce and capacity to restore routine primary care. Work on-going with HFT to support introduction of new Mental Health Practitioner roles as part of ARRS (50:50 ARRS:HFT funded) - delay due to lack of clarity regarding the source of the 50% HFT resource. Phil Davis - 15/02/21 - PCNs continuing to recruit to the ARRS posts. At end of Q3 approximately 3/4 of recruitment plans were achieved. Covid-19 vaccination programme commenced December 2020. All PCNs delivering programme through national Enhanced Service. NHS E has supported practices in delivery through both additional resource (£150m nationally) for delivery of the programme and also through relaxation of certain national requirements and through income protection. PCNs being supported in workforce impact of vaccination programme through volunteers, including through local VCS and also CCG staff supporting delivery of vaccination sessions. New contract arrangements for 2021/22 include additional flexibilities regarding ARRS as well as a new mental health practitioner role for PCNs to recruit in partnership with mental health providers. Work commenced with PCNs and HFT to progress. Phil Davis - 07/10/20 - PCN workforce plans for additional Roles Reimbursement Scheme completed and updated to reflect new roles added to the scheme. Work on-going with wider health community regarding rotational posts. Further work underway to understand practice staff risk assessments including BAME staff. Process in place for reporting and to support practices regarding contact identification when staff test positive. Advice provided to NHS staff re NHS COVID-19 app use when in surgery.	12/08/2021	Davis, Phil	Primary Care Commissioning Committee
2 - Advance at system-level the delivery of the commissioning priorities set out in the NHS Long Term Plan.	915	There is significant patient and public opposition to plans for the development of new models of care resulting in services not being sustainable.	High Risk 12	High Risk 12	High Risk 12	Development of a Communications and Engagement plan with patients and the public for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub-group and the Primary Care Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 15/06/2021 - Hull CCG selected as pilot CCG for 'General Practice and Healthcare Partners Engagement Pilot' - aim is to support practices to provide inclusive access routes to their services and to engage with patients about these, promoting greater patient understanding and confidence in each route and greater use, where appropriate, of digital access channels. Target outcomes of the pilot include: improved patient understanding and experience of accessing GP care services; increased patient use of online consultation (OC) requests by patients; improved patient satisfaction with practice communications and improved satisfaction of practice staff with partner engagement as a result of the pilot. Phil Davis - 12/04/2021 - Further engagement work in Hull undertaken - preliminary findings to be presented to June 2021 PCCC. Phil Davis - 15/02/2021 - HCV engagement report presented to Primary Care Commissioning Committee 22/01/20. Variable views of public regarding total triage and digital first models of care. Most people had been able to access care but inequalities were apparent; opinions of NHS 111 and NHS App were mixed; majority of people's experience of total triage were positive; digital first works for approximately half of respondents; patients prefer health information from NHS sources. On-going public engagement will be required regarding changes to service delivery as services emerge from the pandemic. Phil Davis - 07/10/2020 - HCV engagement report awaited. National campaigns regarding primary care being developed regarding accessing general practice. New edition of My City My Health My Care being prepared. Continuing oversight of comments received through social media. Phil Davis - 13/08/2020 - HCV engagement currently live and participation being encouraged. Feedback also being received through CCG social media sites. Primary Communications and Engagement Group meeting to be held to consider next steps required regarding engagement including potential national and more local plans.	12/08/2021	Davis, Phil	Primary Care Commissioning Committee
6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision	942	Lack of capacity/capability within Primary Care Networks and the CCG to support Primary Care Networks to deliver the Long Term Plan.	High Risk 8	High Risk 8	High Risk 12	Care Primary Care Team in place within CCG - Strategic Lead, Head of Commissioning - Integrated Delivery, Commissioning Manager, Commissioning Lead - Quality Lead and NHS E/I Assistant Contracts Manager. 5 PCNs approved in Hull with Clinical Directors appointed. Support roles approved by CCG for each PCN - Lead Nurse, Clinical Pharmacist and BI role. Wider support for PCNs from CCG teams - e.g. BI, Comms & Engagement. PCN self-completion of Maturity Matrix and OD Plans. Resource available through HCV STP for PCN and Clinical Director development. Humber-side LMCs Clinical Director development offer.	Reports to Primary Care Commissioning Committee regarding PCN establishment and development. Regular communication with PCNs and escalating of any issues to SLT if required.	Joint work with both Local Medical Committee and NHS E/I to support development of PCNs.	Adequate controls in place.	Adequate assurances in place.	15/06/21 Phil Davis - Closer working developing across 4 Humber CCGs and with NHS E colleagues in relation to primary care and delivery of 2021/22 plans. Risk however remains during period of transition. 12/04/21 Phil Davis - Risk rating increased due to a number of CCG staff leaving roles and reduced capacity in CCG in short-term. Plans in development to cover gaps and review staff working across Hull & NI CCGs. 15/02/21 Phil Davis - Meetings between CCG PC Team and PCN Clinical Directors continuing. Additional support for Clinical Directors provided for Q4 2020/21 by NHSE. Link managers continue to support PCNs. Bevan Ltd PCN recruiting a secondeo to a manager role to support PCN development. Practices being supported through CCG and LMC regarding business continuity plans. 07/10/20 Phil Davis - Regular meetings held between PCN Clinical Directors and CCG Primary Care Team and PCN Link Managers. Options for further CCG support for PCN managers being explored. Collaborative Hull and East Riding work continues. 13/08/20 Phil Davis - PCN Link Managers continuing to support PCNs regarding both COVID and other work. Collaborative working between Hull and ER CCGs has resulted in some economies of scale in supporting PCNs. 17/06/20 Phil Davis - PCN Link Managers continue to support PCNs. Work to be undertaken to review PCN workforce and OD plans. Hull & ER Clinical Directors continue to meet fortnightly with CCG and LMC.	12/08/2021	Davis, Phil	Primary Care Commissioning Committee
9 - Maintain support for the effective local planning and response to the Coronavirus Pandemic, ensuring that positive innovations are retained, improved and generalised.	957	Risk assessment of staff within general practice, in line with the NHS England and NHS Improvement "Risk assessments for at-risk staff groups" letter of 25th June 2020, and the necessary mitigating actions may result in some practices having reduced capacity to deliver some services or being unable to deliver some services (e.g. face-face consultations).	High Risk 8	High Risk 12	High Risk 12	Risk assessment tools and guidance available from NHS England and NHS Improvement	Situation reports provided by practices which include outcomes of staff risk assessments	Strep returns to NHS England and NHS Improvement	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 15/06/2021 - New National SOP received May 2021. Weekly strep process remains with practices / PCNs reporting by exception. All Hull practices reporting Green for a number of months - risk rating reduced. Phil Davis - 12/04/2021 - Practices continue to deliver services in line with national general practice SOP during recovery phase. Nationally government has confirmed advice that shielding to be paused from 1/04/21. A letter was sent to all GP practices confirming change to shielding advice, requiring employers to refresh risk assessments of clinically extremely vulnerable staff, and setting out principles for the support of staff. Phil Davis - 15/02/2021 - Practices continue to deliver services in line with national general practice SOP. CCG supporting practices with accommodation to support social distancing where necessary. Offer of Covid-19 vaccination to primary care staff in line with JCVI priority groups undertaken. Phil Davis - 07/10/2020 - Work on-going with practices to understand their Risk Assessments and ensure all staff have been reviewed. Phil Davis - 13/08/2020 - Practices have completed Risk Assessments on majority of staff and identified where action needs taking. CCG to review returns and identify any areas requiring for practices.	12/08/2021	Davis, Phil	Primary Care Commissioning Committee
6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision	930	Practices may not remain part of a Primary Care Network (PCN) and therefore do not support delivery of the CCG Strategic Commissioning Plan for Primary Care and are unable to access resources associated with PCNs thereby making themselves vulnerable/unsustainable.	Moderate Risk 4	High Risk 8	High Risk 8	Integrated Delivery Framework - developed October 2017 Local Quality Premium Scheme - 2018/19 scheme developed OD and other support to practice grouping - on-going	Reports to Primary Care Commissioning Committee	Reports to NHS England as part of regular CCG performance reviews	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 15/06/21 - Further PCN OD monies available through NHS E in 2021/22. 2021/22 COVID expansion funds distributed via PCNs. Risk reduced from High (8) to Moderate (4) Phil Davis - 12/04/21 - All Hull practices remain members of one of the 5 PCNs. Extended Primary Care Medical Services being commissioned through PCNs from 1/04/21. Phil Davis - 15/02/21 - PCNs implementing OD plans with support from CCG and NHSE.	12/08/2021	Davis, Phil	Primary Care Commissioning Committee

Risk scoring = consequence x likelihood (C x L)

The risk score is calculated by multiplying the consequence score by the likelihood score.

Likelihood of occurrence	Consequences/Severity				
	Insignificant .1	Minor .2	Moderate .3	Major .4	Extreme .5
Rare .1	1	2	3	4	5
Unlikely .2	2	4	6	8	10
Possible .3	3	6	9	12	15
Likely .4	4	8	12	16	20
Almost Certain .5	5	10	15	20	25