

**Risk Register Primary Care Commissioning Committee - February 2021 (extracted 15.02.21)**

Strategic Objective	ID	Risk Description	Current risk rating
6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision	902	CCG practices unable to maintain a resilient primary care workforce resulting in reduced access to services and patient needs not being met.	High Risk 12

<p>2 - Advance at system-level the delivery of the commissioning priorities set out in the NHS Long Term Plan.</p>	<p>915</p>	<p>There is significant patient and public opposition to plans for the development of new models of care resulting in services not being sustainable.</p>	<p>High Risk 12</p>
<p>6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision</p>	<p>930</p>	<p>Practices may not remain part of a Primary Care Network (PCN) and therefore do not support delivery of the CCG Strategic Commissioning Plan for Primary Care and are unable to access resources associated with PCNs thereby making themselves vulnerable/unsustainable.</p>	<p>High Risk 8</p>

6 - Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision	942	Lack of capacity/capability within Primary Care Networks and the CCG to support Primary Care Networks to deliver the Long Term Plan.	High Risk 8
9 - Maintain support for the effective local planning and response to the Coronavirus Pandemic, ensuring that positive innovations are retained, improved and generalised.	957	Risk assessment of staff within general practice, in line with the NHS England and NHS Improvement "Risk assessments for at-risk staff groups" letter of 25th June 2020, and the necessary mitigating actions may result in some practices having reduced capacity to deliver some services or being unable to deliver some services (eg. face:face consultations).	High Risk 12

**Risk scoring = consequence x likelihood (C x L)**

The risk score is calculated by multiplying the consequence score by the

Likelihood of occurrence	Consequences/Severity		
	Insignificant	Minor	Moderate
Rare -1	-1 1	-2 2	-3 3

Unlikely -2	2	4	6
Possible -3	3	6	9
Likely -4	4	8	12
Almost Certain -5	5	10	15

Previous risk rating	Initial risk rating	Key controls
High Risk 12	Extreme Risk 16	<p>Development and implementation of CCG primary care workforce strategy and associated initiatives eg. International GP Recruitment, PCN Ready, Physician Associate Schemes. Use of National Workforce Reporting System to monitor trends in primary care workforce.</p> <p>Primary Care Networks to be supported to develop new roles as outlined in NHS Long Term Plan and for which reimbursement available through Network DES.</p> <p>Development of HC&amp;V primary care workforce modelling as part of out of hospital care work-stream.</p>

High Risk 12	High Risk 12	Development of a Communications and Engagement plan with patients and the public for the CCG Primary Care Blueprint.
High Risk 8	High Risk 8	Integrated Delivery Framework - developed October 2017 Local Quality Premium Scheme - 2018/19 scheme developed OD and other support to practice grouping - on-going

High Risk 8	High Risk 8	<p>Core Primary Care Team in place within CCG - Strategic Lead, Head of Commissioning - Integrated Delivery, Commissioning Manager, Commissioning Lead - Quality Lead and NHS E/I Assistant Contracts Manager.</p> <p>5 PCNs approved in Hull with Clinical Directors appointed.</p> <p>Support roles approved by CCG for each PCN - Lead Nurse, Clinical Pharmacist and BI role.</p> <p>Wider support for PCNs from CCG teams - eg. BI, Comms &amp; Engagement.</p> <p>PCN self-completion of Maturity Matrix and OD Plans.</p> <p>Resource available through HCV STP for PCN and Clinical Director development.</p> <p>Humberside LMCs Clinical Director development offer.</p>
High Risk 12	High Risk 12	<p>Risk assessment tools and guidance available from NHS England and NHS Improvement</p>

Major -4	Extreme -5
4	5

8	10
12	15
16	20
20	25



Internal assurances	External assurances	Details of gaps in controls
<p>Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.</p>	<p>External support for practice groupings to cover support for addressing workforce challenges</p>	<p>Need for NHS Pensions issue to be addressed at a national level to address the increasing the number of GPs retiring.</p>

Reports to the Communications and Engagement sub-group and the Primary Care Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place
Reports to Primary Care Commissioning Committee	Reports to NHS England as part of regular CCG performance reviews	Adequate controls in place

<p>Reports to Primary Care Commissioning Committee regarding PCN establishment and development. Regular communication with PCNs and escalating of any issues to SLT if required.</p>	<p>Joint work with both Local Medical Committee and NHS E/I to support development of PCNs.</p>	<p>Adequate controls in place</p>
<p>Situation reports provided by practices which include outcomes of staff risk assessments</p>	<p>Sitrep returns to NHS England and NHS Improvement</p>	<p>Adequate controls in place</p>



Details of gaps in assurances

Adequate assurances in place.

Adequate assurances in place.

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## Progress

Phil Davis - 15/02/21 - PCNs continuing to recruit to the ARRS posts. At end of Q3 approximately 3/4 of recruitment plans were achieved. Covid-19 vaccination programme commenced December 2020. All PCNs delivering programme through national Enhanced Service. NHS E has supported practices in delivery through both additional resource (£150m nationally) for delivery of the programme and also through relaxation of certain national requirements and through income protection. PCNs being supported in workforce impact of vaccination programme through volunteers, including through local VCS and also CCG staff supporting delivery of vaccination sessions. New contract arrangements for 2021/22 include additional flexibilities regarding ARRS as well as a new mental health practitioner role for PCNs to recruit in partnership with mental health providers. Work commenced with PCNs and HFT to progress.

Phil Davis - 07/10/20 - PCN workforce plans for Additional Roles Reimbursement Scheme completed and updated to reflect new roles added to the scheme. Work on-going with wider health community regarding rotational posts. Further work underway to understand practice staff risk assessments including BAME staff. Process in place for reporting and to support practices regarding contact identification when staff test positive. Advice provided to NHS staff re NHS COVID-19 app use when in surgery.

Phil Davis - 28/08/20 - Risk rating reduced as hadn't been reduced following 13/08/20 update (raised at PCCC meeting 28/08/20).

Phil Davis - 13/08/20 - Sitrep reports continuing with PCNs, numbers of staff absent has reduced further over July and into August. Impact of contact tracing and risk assessment (see risk 957) being monitored through sitrep and Outbreak Management Plan. PCNs being supported to develop workforce plans which are required by end August. Risk rating reduced to reflect reduced numbers of staff absent.

**Phil Davis - 15/02/2021 - HCV engagement report presented to Primary Care Commissioning Committee 22/01/20. Variable views of public regarding total triage and digital first models of care. Most people had been able to access care but inequalities were apparent; opinions of NHS 111 and NHS App were mixed; majority of people's experience of total triage were positive; digital first works for approximately half of respondents; patients prefer health information from NHS sources. On-going public engagement will be required regarding changes to service delivery as services emerge from the pandemic.**

Phil Davis - 07/10/2020 - HCV engagement report awaited. National campaigns regarding primary care being developed regarding accessing general practice. New edition of My City My Health My Care being prepared. Continuing oversight of comments received through social media.

Phil Davis - 13/08/2020 - HCV engagement currently live and participation being encouraged. Feedback also being received through CCG social media sites. Primary Communications and Engagement Group meeting to be held to consider next steps required regarding engagement including potential national and more local plans.

Phil Davis - 17/06/20 - HCV wide piece of engagement work planned to obtain patient/public views on changes to services to support on-going service delivery plans.

Phil Davis - 16/04/20 - In light of COVID-19 all practices following national guidance to implement telephone triage, telephone and video consultation, electronic prescribing etc. to minimise face:face activity. National and local communications supporting this approach.

**Phil Davis - 15/02/21 - PCNs implementing OD plans with support from CCG and NHSE.**

Phil Davis - 07/10/20 - PCN OD plans being reviewed.

Phil Davis - 13/08/20 - All practices across the CCG continue to be a member of a PCN.

Phil Davis - 17/06/20 - All practices have signed up to the Network DES - the 5 PCNs in Hull will continue from July in their current form. Practices within PCNs and the 5 PCNs have worked collaboratively to respond to COVID-19 and the additional asks of primary medical care services (eg. care homes enhanced service).

Phil Davis - 16/04/20 - COVID-19 has resulted in limited further progression of PCN OD Plans. However practices in PCNs are working collaboratively to develop solutions to the challenges of COVID-19 eg. Hot/Cold sites and home visiting services etc. No practices have advised the CCG that they do not wish to remain part of a PCN or participate in the Network DES.

Phil Davis - 13/02/20 - CCG to support PCNs in progressing and implementing OD plans. National service specifications published in December refreshed, two being deferred, along with changes to Additional Roles Reimbursement Scheme to provide 100% reimbursement for a wider range of roles.

Phil Davis - 03/12/19 - PCN OD plans include proposals for PCN development including development of vision and priorities. CCG Extended Primary Care Medical Services to be commissioned from PCNs from April 2020.

15/02/21 Phil Davis - Meetings between CCG PC Team and PCN Clinical Directors continuing. Additional support for Clinical Directors provided for Q4 2020/21 by NHSE. Link managers continue to support PCNs. Bevan Ltd PCN recruiting a secondee to a manager role to support PCN development. Practices being supported through CCG and LMC regarding business continuity plans.

07/10/20 Phil Davis - Regular meetings held between PCN Clinical Directors and CCG Primary Care Team and PCN Link Managers. Options for further CCG support for PCN managers being explored. Collaborative Hull and East Riding work continues.

13/08/20 Phil Davis - PCN Link Managers continuing to support PCNs regarding both COVID and other work. Collaborative working between Hull and ER CCGs has resulted in some economies of scale in supporting PCNs.

17/06/20 Phil Davis - PCN Link Managers continue to support PCNs. Work to be undertaken to review PCN workforce and OD plans. Hull & ER Clinical Directors continue to meet fortnightly with CCG and LMC.

16/04/20 Phil Davis - Each PCN has an allocated CCG support manager to support them. Due to COVID-19 focus has been on developing operational response including Hot/Cold sites. Work undertaken with ICC Frailty Team and PCNs to allocate care homes in Hull to PCNs to support COVID-19 response and the delivery of the requirements of the Enhanced Care in Care Homes service specification in 2020/21.

Phil Davis - 15/02/2021 - Practices continue to deliver services in line with national general practice SOP. CCG supporting practices with accommodation to support social distancing where necessary. Offer of Covid-19 vaccination to primary care staff in line with JCVI priority groups undertaken.

Phil Davis - 07/10/2020 - Work on-going with practices to understand their Risk Assessments and ensure all staff have been reviewed.

Phil Davis - 13/08/2020 - Practices have completed Risk Assessments on majority of staff and identified where action needs taking. CCG to review returns and identify any areas requiring for practices.

Phil Davis - 29/07/2020 - Risk identified at Primary Care Commissioning Committee on 26/07/2020 as needing to be a separate risk. Previously had been incorporated in the broader primary care workforce risk. New risk will be reviewed by IAGC on 08/09/2020.



Review date	Risk Owner	CCG Committee
15/04/2020	Davis, Phil	Primary Care Commissioning Committee

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