

## INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 7 SEPTEMBER 2021

### ASSURANCE REPORT

#### INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 7 September 2021.

#### AUDIT

##### EXTERNAL AUDIT

###### **Minute No. 6.1 EXTERNAL AUDIT UPDATE REPORT**

The Board can be assured that the planning stage of the 2021/22 audit would commence in the late summer and an Audit Strategy Memorandum would be issued in time for the next meeting of the IAGC in November.

###### **Minute No. 6.2 HULL CCG AUDITOR'S ANNUAL REPORT**

The Board can be assured that the Auditor's Annual Report (AAR) for Hull CCG, which summarised the work undertaken for the year ended 31 March 2021, had identified no risks of significant weakness in arrangements or issues that were specific to the CCG; however, reference had been made to the national matter that, as a healthcare commissioner, there was a growing risk as a result of the pandemic. This was a national matter, rather than any specific weaknesses identified in relation to the CCG.

##### INTERNAL AUDIT

###### **Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT**

The Board can be assured that work is progressing against the Internal Audit Plan for 2021/22.

No audit reports had been issued since the last IAGC meeting in July.

Work on the audits of Mental Capacity Act, Conflicts of Interests and Governance and Risk Management Arrangements for Quarter 3 was at the planning stage.

###### **Minute No. 7.4 COUNTER FRAUD PROGRESS REPORT**

The Board can be assured from the Annual Counter Fraud Report for 2020/21 by the amount of counter fraud awareness work that continued to take place. There had been a good take up for masterclasses on how to identify and prevent cyber enabled, recruitment and payroll fraud.

### **Minute No. 7.5 NHS VIOLENCE PREVENTION AND REDUCTION PROPOSAL**

The Board can be assured that in, order to comply with the new NHS Violence Prevention and Reduction Standard, a cross-Humber policy and strategy was being developed that would support all 4 CCGs.

## **FINANCIAL GOVERNANCE**

### **Minute No. 8.1 FINANCE REPORT**

The Board can be assured, from performance for the period 1 April 2021 to 31 July 2021, that the CCG was forecasting that it would achieve the required financial position for the first half of the financial year (H1) and would work with system partners to ensure that this was the case for the remainder of the financial year.

### **Minute No. 8.3 REBATE AGREEMENT RENEWALS**

The Board can be assured that, following endorsement by the Planning and Commissioning Committee, the Integrated and Audit Committee had approved Rebate Agreement Renewals for Glenil 2021 and Mezolar 2021.

## **GOVERNANCE**

### **Minute No. 9.1 WAIVING OF PRIME FINANCIAL POLICIES**

The Board are advised that the IAGC had noted approved tender waivers in respect of HCV Cloud Based Urinalysis and HCV FeNO devices in Primary Care

### **Minute No. 9.2 CORPORATE RISK REGISTER**

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

A new high risk – Risk 968, had been added to the Risk Register which was a recognition of the impact of Covid on the ability of the Hull Safeguarding Adults Partnership Board to be able to pull together what their priorities have been.

### **Minute No. 9.4 ASSURANCE UPDATE IN RELATION TO CLOSE-DOWN AND TRANSITION**

The Board can be assured that the IAGC had been provided with an update on the range of work that had already commenced and was gathering pace for both close-down and transition to the new organisation. This would form a standing agenda item at future IAGC meetings and members would be kept updated as further developments evolved.

### **Minute No. 9.5 FREEDOM OF INFORMATION REQUESTS Q1 RE[PORT**

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received.

During Q1, the CCG had continued to maintain 100% compliance with the statutory 20 working day response timescale.

### **Minute No. 9.7 CONTINUING HEALTHCARE – AUDIT UPDATE REPORT**

The Board can be assured that the IAGC had been updated on progress made against the required actions as assessed by AuditOne in September 2019 in relation to Continuing Healthcare. The report provided a brief position statement in relation to progress made against the remaining management actions.

A further update on CHC would be provided to the IAGC in January 2022.

**Minute No. 9.8      ACCESS TO RECORDS POLICY**

The Board can be assured that the CCG had updated it's Access to Record Policy to reflect latest organisational changes and recent guidance.

The IAGC had approved the updated Access to Records Policy.



**Karen Marshall (Chair)**  
**Integrated Audit and Governance Committee**  
**7 September 2021**