

<b>Report to:</b>	NHS Hull Clinical Commissioning Board
<b>Date of Meeting:</b>	25 September 2020
<b>Subject:</b>	Corporate Risk Register
<b>Presented by:</b>	Mike Napier, Associate Director of Corporate Affairs
<b>Author:</b>	Mike Napier, Associate Director of Corporate Affairs / Risk Owners

<b>STATUS OF THE REPORT:</b>			
To approve	<input type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input type="checkbox"/>
To consider	<input checked="" type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

<b>PURPOSE OF REPORT:</b>
The purpose of this report is to brief Board Members on the current corporate risk register.
<b>RECOMMENDATIONS:</b>
It is recommended that the Board Members note the updates provided and comment, where appropriate, on the adequacy of the controls, assurances and mitigations within the corporate risk register.

<b>REPORT EXEMPT FROM PUBLIC DISCLOSURE</b>	No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/>
If yes, grounds for exemption (FOIA or DPA section reference)		

<b>CCG STRATEGIC OBJECTIVE</b>
Specific risks to achievement of the CCG's strategic objectives are set out within the Board Assurance Framework. The corporate risk register is regularly reviewed to establish whether any new risks emerge that relate to a strategic objective, in which case they transfer on to the BAF.

<b>IMPLICATIONS:</b>	
Finance	All risks are assessed for their capacity to specifically impact on finance, HR, quality and safety. Where a negative impact is identified this is set out within the specific risk narrative.
HR	
Quality	
Safety	

**ENGAGEMENT:**

Each risk on the corporate risk register is owned by a CCG senior officer. They are all subject to regular review by them as well as relevant teams and meetings.

**LEGAL ISSUES:**

Individual risks may highlight threats to achievement of the CCG's statutory duties. In such instances the implications and consequences

**EQUALITY AND DIVERSITY ISSUES:**

	<i>Tick relevant box</i>
An Equality Impact Analysis/Assessment is not required for this report.	√
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

**THE NHS CONSTITUTION:**

This report supports the NHS pledge to staff and all aspects of the patients' rights.

## **CORPORATE RISK REGISTER**

### **1. INTRODUCTION**

- 1.1. The purpose of this report is to brief Board Members on the current corporate risk register.

### **2. BACKGROUND**

- 2.1. The CCG maintains a comprehensive risk register sets out the full range of risks to achievement of CCG objectives. The corporate risk register comprises those risks on the risk register with a risk rating of high or extreme.
- 2.2. Individual risk owners are responsible for updating, reviewing and managing their risks. The risk register in its entirety is a standing agenda item at team meetings and the Health, Safety and Security Committee for discussion. It is also considered regularly at the main committees of the Board.
- 2.3. The corporate risk register is reviewed in detail at each meeting of the Integrated Audit and Governance Committee as well as bi-annually by the CCG Board.

### **3. CURRENT RISKS ON THE CCG RISK REGISTER**

- 3.1. There are currently 38 risks on the CCG risk register. Of these, 20 have a current risk rating of high or extreme (that is 8 or above) and are therefore included for Board consideration.
- 3.2. Progress on the individual management of each risk is shown within the register.
- 3.3 All risks have been aligned to one of the new strategic objectives as follows:
  1. Facilitate strategic Humber-wide planning and transformation, focusing on quality outcomes and patient experience as the catalysts for clinically-led change.
  2. Advance at system-level the delivery of the commissioning priorities set out in the NHS Long Term Plan.
  3. Support the delivery of financial strategies and actions as enablers to system-wide transformation.
  4. Successfully and effectively commissioning health services to meet the reasonable health needs of the people of Hull.
  5. Work in partnership with Hull City Council and others to implement a population driven approach to improving health outcomes and reduce health inequalities, targeting in particular the most disadvantaged in local communities
  6. Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision
  7. Focus on care and services for children in Hull, improving performance against statutory responsibilities and achieve better outcomes as measured by experience and engagement.
  8. Delivery of Statutory Duties

9. Maintain support for the effective local planning and response to the Coronavirus Pandemic, ensuring that positive innovations are retained, improved and generalised.

#### **4. RECOMMENDATIONS**

- 4.1 It is recommended that the Board Members note the updates provided and comment, where appropriate, on the adequacy of the controls, assurances and mitigations within the corporate risk register.