

Item: 6.10

Report to:	NHS Hull Clinical Commissioning Board
Date of Meeting:	25 September 2020
Subject:	Board Assurance Framework 2019/20
Presented by:	Mike Napier, Associate Director of Corporate Affairs
Author:	Corporate Affairs Team / CCG Risk Owners

STATUS OF THE REPORT:

To approve	<input checked="" type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input type="checkbox"/>
To consider	<input type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

PURPOSE OF REPORT:
The purpose of this report is to present the current board assurance framework (BAF) for approval for consideration.

RECOMMENDATIONS:
It is recommended that the CCG Board approve the BAF provided and comment, as appropriate.

REPORT EXEMPT FROM PUBLIC DISCLOSURE No Yes

If yes, grounds for exemption
(FOIA or DPA section reference)

CCG STRATEGIC OBJECTIVE

The BAF sets out, by definition, the identified risks against all of the organisation's strategic objectives.

IMPLICATIONS:

Finance	Financial implications of individual risks assessed on a case by case basis in accordance with the CCG risk identification matrix.
HR	No adverse implications identified

Quality	Risks identified on a case by case basis
Safety	Risks identified on a case by case basis

ENGAGEMENT:

The risks within the board assurance framework have been established the CCG Board and the controls / assurances against these subsequently developed by relevant senior officers of the CCG.

LEGAL ISSUES:

No explicit adverse issues identified, however, any legal implication for specific assurance framework entries would be identified as part of the risk description.

EQUALITY AND DIVERSITY ISSUES:

	<i>Tick relevant box</i>
An Equality Impact Analysis/Assessment is not required for this report.	√
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

THE NHS CONSTITUTION:

This report supports the NHS pledge to staff and all aspects of the patients' rights.

NHS HULL CCG BOARD ASSURANCE FRAMEWORK

1. INTRODUCTION

The CCG has maintained an assurance framework as an essential part of its governance arrangements to ensure that the principal threats to achievement of the organisation's strategic aims and objectives are clearly identified, mitigated and monitored. In-year review allows the CCG Board and Integrated Audit and Governance Committee (IAGC) to maintain an appropriate focus on risks to the delivery of key objectives.

The Board Assurance Framework (BAF) provides an important source of assurance.

2. BACKGROUND

The enclosed BAF presents the current position against the assessed risks to the CCG's 2020/21 strategic objectives as follows:

1. Facilitate strategic Humber-wide planning and transformation, focusing on quality outcomes and patient experience as the catalysts for clinically-led change.
2. Advance at system-level the delivery of the commissioning priorities set out in the NHS Long Term Plan.
3. Support the delivery of financial strategies and actions as enablers to system-wide transformation.
4. Successfully and effectively commissioning health services to meet the reasonable health needs of the people of Hull.
5. Work in partnership with Hull City Council and others to implement a population driven approach to improving health outcomes and reduce health inequalities, targeting in particular the most disadvantaged in local communities
6. Develop an agreed out of hospital strategy for Hull, supporting local Primary Care Networks to determine models for transition to integrated provision
7. Focus on care and services for children in Hull, improving performance against statutory responsibilities and achieve better outcomes as measured by experience and engagement.
8. Delivery of Statutory Duties
9. Maintain support for the effective local planning and response to the Coronavirus Pandemic, ensuring that positive innovations are retained, improved and generalised.

Further population of some areas is required.

3. UPDATES

The BAF comprises a total of 31 risks relating to the 9 strategic objectives of the CCG.

Updates are highlighted in red.

The risk ratings within the BAF are broken down as follows:

Risk Category	Number of risks
Extreme	6
High	24
Moderate	1

Highest Rated Risks

Risk	Description	Risk Rating		
		Impact	Likelihood	Rating
5.1	The most disadvantaged local continue to experience the poorest health, further exacerbated by the continued economic impact of COVID	5 x 4 = 20		
6.1	Lack of capacity across community providers to deliver this with primary care	5 x 4 = 20		
6.2	Patients unable or unwilling to adopt the behavioural changes required.	4 x 5 = 20		

4. RECOMMENDATIONS

It is recommended that Members approve the BAF provided and comment, as appropriate.