

**Item: 5.6**

<b>Report to:</b>	Clinical Commissioning Board
<b>Date of Meeting:</b>	22 May 2020
<b>Subject:</b>	Final Annual Governance Statement (AGS) 2019/20
<b>Presented by:</b>	Chief Finance Officer
<b>Author:</b>	Associate Director of Corporate Affairs

**STATUS OF THE REPORT:**

To approve	<input checked="" type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input type="checkbox"/>
To consider	<input type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

**PURPOSE OF REPORT:**

The purpose of this report is to present the draft Annual Governance Statement for 2019/20 for approval.

**RECOMMENDATIONS:**

a It is recommended that the Board approve the AGS, noting that it has been scrutinised by the CCG's external auditors and assured by the Integrated Audit and Governance Committee.

**REPORT EXEMPT FROM PUBLIC DISCLOSURE** No  Yes

If yes, grounds for exemption  
(FOIA or DPA section reference)

**CCG STRATEGIC OBJECTIVE**

The annual governance statement is a statutory document which explains the processes and procedures in place to enable the CCG to carry out its functions effectively.

<b>IMPLICATIONS:</b> <i>(summary of key implications, including risks, associated with the paper),</i>	
Finance	There are no adverse finance implications.
HR	There are no adverse HR implications.
Quality	There are no adverse quality issues.
Safety	There are no adverse safety implications.

**ENGAGEMENT:**

The Statement has been through a thorough internal assurance process, including detailed review by the Integrated Audit and Governance Committee.

**LEGAL ISSUES:**

It is a statutory requirement that NHS bodies publish an Annual Governance Statement as part of the year-end accountability process.

**EQUALITY AND DIVERSITY ISSUES:** *(summary of impact, if any, of CCG's duty to promote equality and diversity based on Equality Impact Analysis (EIA). All reports relating to new services, changes to existing services or CCG strategies / policies must have a valid EIA and will not be received by the Committee if this is not appended to the report)*

	<b>Tick relevant box</b>
An Equality Impact Analysis/Assessment is not required for this report.	✓
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

**THE NHS CONSTITUTION:**

The proposals support delivery of Principle 3 of the NHS Constitution: The NHS aspires to the highest standards of excellence and professionalism.

# **ANNUAL GOVERNANCE STATEMENT 2019/20**

## **1. INTRODUCTION**

The purpose of this report is to present the draft Annual Governance Statement (AGS) for 2019/20.

## **2. BACKGROUND**

It is a statutory requirement that the Accountable Officer (CCG Chief Officer) sign an AGS on behalf of the Board as part of the formal year-end procedures. The AGS describes the processes and mechanisms through which the organisation has discharged its responsibilities for maintaining a sound system of internal control, which in turn supports the achievement of policies, aims and objectives whilst safeguarding the public funds it is responsible for.

## **3. INFORMATION**

The AGS has been reviewed in detail by the Integrated Audit and Governance Committee and has been subject to scrutiny by the CCG's external auditors.

The CCG contracts with a number of external organisations for the provision of support services and functions. It duly receives assurances as to the adequacy of the controls in place within these organisations, these are known as Third Party Assurances.

At the time of submission of report to the CCG Board, confirmation of assurances were awaited from some of these third parties and will be incorporated in time of finalisation of the AGS.

## **4. RECOMMENDATIONS**

It is recommended that the Board approve the draft AGS.