

Primary Care Commissioning Committee Risk Report February 2020 (extracted 17.02.20)

Strategic Objective	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	CCG Committee
Delivery of Statutory Duties	931	CCG would not have an effective plan in place to support the community in the event of a cyber incident.	High Risk 9	High Risk 9	High Risk 10	System in place for reporting incidents Awareness for staff Assurance process around support service/ GPs BCP to be further developed Additional workshop to be organised for CCG SMT Response process map has been shared with CCG Upgrade to windows 10 planned	Virus and security software regularly checked for appropriateness CCG and IT supplier have signed up for NHS Alerting mechanism Humber wide IT managers group set up (managed by CCG) to ensure minimum standards are set.	NHSD inform via reporting mechanism of security standard breaches.	Adequate controls in place.	Further education required	18.12.19 - John Mitchell, no updates at present until transition complete, level of overall confidence in procedures is acceptable. 11.11.19 CC - training has now taken place for on call directors, the new GP IT spec details around better on call provision, new supplier to be in place as from April 2020. 11.10.19 - John Mitchell, On Call Workshop took place with On Call Directors across Patch on 16 September 2019 to discuss Cyber and perform desktop exercise. Now on third round of Cyber Training and Desktop Exercises, training date for Hull to be agreed. The specification for new IT contract has provision for major incident and out of hours serious support. 11.07.19 - John Mitchell - has attended regional training meetings and has gained additional reassurance that processes in place are appropriate. In addition we have made sure that GP IT specifications have the appropriate level of working standards applied.	14/02/2020	Mitchell, John	Integrated Audit and Governance Committee, Primary Care Commissioning Committee
To embed Patient and Public Involvement across the organisation and ensure that the CCG meets its statutory duty under 142Z of the Health and Social Care Act	915	There is significant patient and public opposition to plans for the development of new models of care resulting in services not being sustainable.	High Risk 12	High Risk 8	High Risk 12	Development of a Communications and Engagement plan with patients and the public for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub-group and the Primary Care Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 13/02/20 - CCG Engagement and Consultation Assurance Framework developed which will be applicable to and used to support primary medical care services. Phil Davis - 19/12/19 - Following advice received from IAGC the risk rating has been increased back to High Risk 12 to allow further progress in relation to the actions to be made. Phil Davis - 03/12/19 - Draft edition of My City My Health My Care produced. PCN OD plans include developing working with patients and communities. Phil Davis - 15/10/19 - Further discussion to be had with Health & Wellbeing Overview & Scrutiny Commission Chair regarding the Consultation protocol - hence not taken to October meeting. Next My City My Health My Care edition being drafted and to include focus on Primary Care Networks. Primary Care Network OD Plans submitted at end of September include actions in relation to the Working with People and Communities domain of the maturity matrix eg. working with Healthwatch, communities, community groups and developing PPGs. Risk rating adjusted from 12 to 8.	10/04/2020	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	942	Lack of capacity/capability within Primary Care Networks and the CCG to support Primary Care Networks to deliver the Long Term Plan.	High Risk 8	High Risk 8	High Risk 8	Core Primary Care Team in place within CCG - Strategic Lead, Head of Commissioning - Integrated Delivery, Commissioning Manager, Commissioning Lead - Quality Lead and NHS E/I Assistant Contracts Manager. 5 PCNs approved in Hull with Clinical Directors appointed. Support roles approved by CCG for each PCN - Lead Nurse, Clinical Pharmacist and BI role. Wider support for PCNs from CCG teams - eg. BI, Comms & Engagement. PCN self-completion of Maturity Matrix and OD Plans. Resource available through HCV STP for PCN and Clinical Director development. Humberwide LMCs Clinical Director development offer.	Reports to Primary Care Commissioning Committee regarding PCN establishment and development. Regular communication with PCNs and escalating of any issues to SLT if required.	Joint work with both Local Medical Committee and NHS E/I to support development of PCNs.	Adequate controls in place.	Adequate assurances in place.	13/02/20 Phil Davis - CCG to work through implications of revised National Service Specifications and develop required support to PCNs for delivery in 2020/21. 03/12/19 Phil Davis - PCN OD Plans developed and resource allocated to each PCN for implementation. CCG & HCV STP providing support to PCNs across a range of issues including population health management (accelerator programme). Work on-going within CCG to develop support offer to PCNs building on the 3 roles approved in August (Leads for Nursing, Clinical Pharmacy and Business Intelligence) eg. support to PCN strategic leads as a group. 29/10/19 Phil Davis - This a new risk added to the register following consideration at the Primary Care Commissioning Committee. It reflects a development of the previous risk 901 which it is recommended is closed. (IAGC to consider closure of 901 at the November 2019 meeting.)	10/04/2020	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	930	Practices may not remain part of a Primary Care Network (PCN) and therefore do not support delivery of the CCG Strategic Commissioning Plan for Primary Care and are unable to access resources associated with PCNs thereby making themselves vulnerable/unsustainable.	High Risk 8	High Risk 8	High Risk 8	Integrated Delivery Framework - developed October 2017 Local Quality Premium Scheme - 2018/19 scheme developed OD and other support to practice grouping - on-going	Reports to Primary Care Commissioning Committee	Reports to NHS England as part of regular CCG performance reviews	Adequate controls in place.	Adequate assurances in place.	Phil Davis - 13/02/19 - CCG to support PCNs in progressing and implementing OD plans. National service specifications published in December refreshed, two being deferred, along with changes to Additional Roles Reimbursement Scheme to provide 100% reimbursement for a wider range of roles. Phil Davis - 03/12/19 - PCN OD plans include proposals for PCN development including development of vision and priorities. CCG Extended Primary Care Medical Services to be commissioned from PCNs from April 2020. Phil Davis - 15/10/19 - All PCNs have submitted their maturity matrix and OD plans by 30th September deadline. Responses and support for PCNs being developed with NHSE/I to respond to needs identified. PCNs continue to develop their ways of working and significant resource available to support PCN plans and to develop PCN Clinical Directors. Risk rating reduce from 12 to 8. Phil Davis - 21/08/19 - PCN development support prospectus published to support PCNs. Each PCN developing an organisational development plans by end September 2019.	10/04/2020	Davis, Phil	Primary Care Commissioning Committee

Integrated Delivery	902	CCG practices unable to maintain a resilient primary care workforce resulting in reduced access to services and patient needs not being met.	Extreme Risk 20	Extreme Risk 20	Extreme Risk 16	Development and implementation of CCG primary care workforce strategy and associated initiatives eg. International GP Recruitment, PCN Ready, Physician Associate Schemes. Use of National Workforce Reporting System to monitor trends in primary care workforce. Primary Care Networks to be supported to develop new roles as outlined in NHS Long Term Plan and for which reimbursement available through Network DES. Development of HC&V primary care workforce modelling as part of out of hospital care work-stream.	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Need for NHS Pensions issue to be addressed at a national level to address the increasing number of GPs retiring.	Adequate assurances in place.	Phil Davis - 13/02/30 - Clarification at December PCCC that GPs not covered by national proposals - awaiting confirmation by NHSEI. Revised GP contract arrangement for 2020/21 provide for: more flexibility with the Additional Roles Reimbursement Scheme - more roles to be included and 100% reimbursement to be applicable to all roles, changes to medical/GP training to include more time spent in primary care. Catalyst programme for GPs in first year of practice developed to secure more Drs working in primary care. Phil Davis - 03/12/19 - Indication that NHS Pension scheme will be addressed at a national level. New NHS E post to support primary care develop apprenticeships now in post. Reception and clerical staff training needs assessment to be undertaken at HC&V level. Phil Davis - 15/10/19 - GP and GPN Ready Schemes being developed at HC&V level to support new GPs and Nurses working in a primary care setting. PCN Additional Roles reimbursement scheme details now available to support PCNs to expand the workforce through the Network DES. Work being undertaken to explore mental health worker roles in primary care.	10/04/2020	Davis, Phil	Primary Care Commissioning Committee
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Risk scoring = consequence x likelihood (C x L)
The risk score is calculated by multiplying the consequence score by the

Likelihood of occurrence	Consequences/Severity				
	Insignificant 1	Minor 2	Moderate 3	Major 4	Extreme 5
Rare -1	1	2	3	4	5
Unlikely -2	2	4	6	8	10
Possible -3	3	6	9	12	15
Likely -4	4	8	12	16	20
Almost Certain -5	5	10	15	20	25