

**Item: 10.3**

**INTEGRATED AUDIT AND GOVERNANCE COMMITTEE**

**MEETING HELD ON 12 NOVEMBER 2019**

**ASSURANCE REPORT**

**INTRODUCTION**

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 12 November 2019.

**AUDIT**

**EXTERNAL AUDIT**

**Minute No. 6.1 EXTERNAL AUDIT PROGRESS REPORT**

The Board can be assured that assurance work in respect of the Mental Health Investment Standard had been carried out and a draft report had been shared with officers. The standard had been met and there had been no specific reporting issues for Hull CCG.

**Minute No. 6.2 AUDIT STRATEGY MEMORANDUM**

The Board can be assured that Mazars, the External Auditors for Hull CCG, have produced an Audit Strategy Memorandum (ASM) which sets out their proposed approach in respect of the audit of NHS Hull CCG for the year ending 31 March 2020.

**INTERNAL AUDIT**

**Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT**

The Board can be assured that Mazars, the External Auditors for Hull CCG, have produced an Audit Strategy Memorandum (ASM) which sets out their proposed approach in respect of the audit of NHS Hull CCG for the year ending 31 March 2020.

**FINANCIAL GOVERNANCE**

**Minute No. 8.1 FINANCIAL REPORT**

The Board can be assured that the CCG is currently forecasting to achieve a balanced position against the in-year allocation. There is therefore no impact on the CCG's historic surplus of £15.335m which is in line with the 2019/20 financial plan submitted to NHS England.

**Minute No. 8.2 LOSSES AND SPECIAL PAYMENTS**

The Deputy Chief Finance Officer advised that there were no losses or special payments to report.

## **GOVERNANCE**

### **Minute No. 9.2 CORPORATE RISK REGISTER**

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

IAGC members approved the removal of Risk 901 which had been replaced by a new risk (Risk 942) and requested the re-articulation of Risks 938,939 and 940.

### **Minute No. 9.4 GIFTS AND HOSPITALITY REPORT**

The Board can be assured that the CCG maintains a register of all declarations of gifts and hospitality, in accordance with the NHSE guidance on Managing Conflicts of Interest, which is regularly reviewed by the IAGC.

### **Minute No. 9.9 POLICIES**

The Board can be assured that the CCG regularly reviews and updates its policies. IAGC Members approved the following updated policies:

Information Governance Policies:

- Security and Transmission of Personal Confidential Data and Information (Safe Haven) Policy
- Information Governance Framework and Strategy
- Code of Confidentiality
- Confidentiality Audit Policy
- Information Security and Equipment Policy

Financial Policies and Procedures:

- Allocations and Financial Plan
- Budget Upload Procedure
- Finance Guidelines
- IR35 Off Payroll Workers
- Losses and Special Payments
- Making Payments using Payment Request form V4.0
- Raising Debtor Requests V4.0
- Pay Advances V4.0

The updated policies would be shared with all staff and published on the CCG website.



**Karen Marshall (Chair)**  
**Integrated Audit and Governance Committee**  
**12 November 2019**