

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 10 SEPTEMBER 2019

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 10 September 2019.

AUDIT

EXTERNAL AUDIT

Minute No. 6.1 EXTERNAL AUDIT PROGRESS REPORT

The Board can be assured that the planning stage of the 2019/20 audit is in progress and an Audit Strategy Memorandum setting out the External Audit Plan for NHS Hull CCG for 2019/20 will be brought to the next meeting

INTERNAL AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plans, which continue to represent appropriate coverage as part of the wider assurance framework. Work against the 2018/19 Plan is now complete and work had commenced against the 2019/20 Plan.

The Board can be assured, from the findings of an advisory audit of **Local Authority Integration** in relation to partnership working, that the CCG has appropriate governance arrangements in place for integrated commissioning and that appropriate governance / decision making routes are being followed.

Minute No. 7.2 COUNTER FRAUD PROGRESS REPORT - FEB–AUG 2019

The Board can be assured that the CCG has an approved Counter Fraud Workplan in place for 2019/2020 and can also be assured by the amount of pro-active and deterrent type work that is being undertaken within the CCG.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that the CCG is currently forecasting to achieve a balanced position against the in-year allocation. There is therefore no impact on the CCG's historic surplus of £15.335m which is in line with the 2019/20 financial plan submitted to NHS England.

Minute No. 8.2 LOSSES AND SPECIAL PAYMENTS

The Deputy Chief Finance Officer advised that there were no losses or special payments to report.

The Director of Quality and Clinical Governance joined the meeting.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register.

The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

The removal of Risk 922 was approved - this risk around the Aligned Incentive Contract between Hull CCG, ERY CCG and Hull University Teaching Hospitals NHS Trust (HUTHT) related to the 2018/19 financial year and the on-going demand management/performance risks were reflected elsewhere in the BAF/Risk Register

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK (BAF) 2019/20

The Board can be assured that the IAGC is kept updated on the position against the assessed risks on the BAF 2019/20. Further focus would be given to the BAF at the next IAGC meeting around the delivery of statutory duties.

Minute No. 9.4 FREEDOM OF INFORMATION REQUESTS Q1 REPORT

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received. During the period April 2019 to June 2019 there had been no missed requests and the CCG had been fully compliant with the 20 day response deadline.

Minute No. 9.5 INDIVIDUAL FUNDING REQUEST (IFR) ANNUAL REPORT 2018/19

The Board can be assured that the CCG had approved the IFR Annual Report 2018/19, with the caveat that a piece of work needed to be done to deliver a timely Annual Report for 2019/20 that met the CCG's requirements.

Minute No. 9.9 POLICIES

The Board can be assured that the CCG regularly reviews and updates its policies. IAGC Members approved the updated policies for Counter Fraud, Bribery and Corruption, Internet Use and Access to Record

The updated policies would be shared with all staff and published on the CCG website.

Minute No. 9.15 INTEGRATED COMMISSIONING COMMITTEE (COMMITTEES IN COMMON) UPDATED TERMS OF REFERENCE

IAGC members noted the updated Integrated Commissioning Committee (Committees in Common) terms of reference. The minor recommendations to the terms of reference from the Internal Audit review would also be included prior to the updated ToRs being finalised.



Karen Marshall (Chair)
Integrated Audit and Governance Committee
10 September 2019