

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 8 JULY 2019

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 8 July 2019.

AUDIT

EXTERNAL AUDIT

Minute No. 6.1 ANNUAL AUDIT LETTER HULL CCG 2018/19

The Board can be assured that the contents of the NHS Hull CCG Annual Audit Letter 2018/19, which summarised the findings and key issues arising from the 2018/19 audit at Hull CCG, had provided an unqualified opinion on the financial statements and had not identified any issues to report.

The IAGC recommended that the CCG Board formally approved the NHS Hull CCG Annual Audit Letter 2018/19 for publication.

INTERNAL AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continued to represent appropriate coverage as part of the wider assurance framework.

The Board can be assured, from an audit of Medicines Management, that **substantial assurance** had been provided with only one minor recommendation.

Minute No. 7.2 INTERNAL AUDIT PLAN 2019/20

The Board can be assured that the CCG has an approved Internal Audit Plan in place for 2019/2020.

Minute No. 7.3 INTERNAL AUDIT ANNUAL REPORT 2018/19

The Board can be assured that the Internal Audit Annual Report for 2018/19, which included the final Head of Internal Audit Opinion for the year, had provided an overall opinion of **substantial assurance**.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that the CCG is currently forecasting to achieve a balanced position against the in-year allocation. There is therefore no impact on the CCG's historic surplus of £15.335m which is in line with the 2019/20 financial plan submitted to NHS England.

Minute No. 8.3 UPDATE ON THE INTEGRATED FINANCIAL PLAN

The Board can be assured that the CCG is working closely with Hull City Council on the continued development of an Integrated Financial Plan, along with the production of a joint finance report and development of an Integrated Prioritisation Framework.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

Minute No. 9.4 FREEDOM OF INFORMATION REQUESTS Q4 REPORT

The Board can be assured that the CCG has a process in place to respond to all Freedom of Information requests received. During the period 1 January 2019 to March 2019 there had been no missed requests and the CCG had been fully compliant with the 20 day response deadline.

Minute No. 9.6 EMERGENCY PREPAREDNESS, RESPONSE AND RESILIENCE/BUSINESS CONTINUITY (EPRR/BCM) ANNUAL REPORT 2018/19

The Board can be assured by the activities undertaken within the CCG and plans in place in relation to Emergency Preparedness, Response and Resilience / Business Continuity, which were aligned across Hull, the East Riding and North Lincolnshire.

Minute No. 9.8 NHS HULL CCG HEALTH, SAFETY AND SECURITY ANNUAL REPORT 2018/19

The Board can be assured that the CCG continues to fulfil its statutory duties in relation to Health, Safety and Security compliance.

Minute No. 9.9 CORPORATE GOVERNANCE POLICIES

The Board can be assured that the CCG regularly reviews and updates its Corporate Governance Policies. IAGC Members approved the updated policies for the Development and Management of Organisational Policies, Procedures and Guidelines and the Identification Badge Policy and Procedure.

The updated policies would be shared with all staff and published on the CCG website.

Minute No. 9.13 TERMS OF REFERENCE

The Board can be assured that the terms of reference for all formal Committees had been subject to an annual review by the individual Committees to ensure that they continued to meet the needs of the respective Committees which were then submitted to the IAGC for consideration and final oversight.

Subject to the incorporation of some further comments. the IAGC would recommend that the Board approve the amended Committee terms of reference.

A handwritten signature in black ink, appearing to read 'K Marshall', is positioned above the typed name.

Karen Marshall (Chair)
Integrated Audit and Governance Committee
8 July 2019