

Item: 6.6

Report to:	NHS Hull Clinical Commissioning Group Board
Date of Meeting:	26 July 2019
Subject:	Board Assurance Framework
Presented by:	Mike Napier, Associate Director of Corporate Affairs
Author:	Mike Napier, Associate Director of Corporate Affairs

STATUS OF THE REPORT:			
To approve	<input checked="" type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input type="checkbox"/>
To consider	<input type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

PURPOSE OF REPORT:
The purpose of this report is to present the current board assurance framework (BAF) for consideration.
RECOMMENDATIONS:
It is recommended that the CCG Board approve the updates provided and comment, as appropriate.

REPORT EXEMPT FROM PUBLIC DISCLOSURE	No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/>
If yes, grounds for exemption (FOIA or DPA section reference)		

CCG STRATEGIC OBJECTIVE
The BAF sets out, by definition, the identified risks against all of the organisation's strategic objectives.

IMPLICATIONS:	
Finance	Financial implications of individual risks assessed on a case by case basis in accordance with the CCG risk identification matrix.
HR	No adverse implications identified
Quality	Risks identified on a case by case basis
Safety	Risks identified on a case by case basis

ENGAGEMENT:

The risks within the board assurance framework have been established the CCG Board and the controls / assurances against these subsequently developed by relevant senior officers of the CCG.

LEGAL ISSUES:

No explicit adverse issues identified, however, any legal implication for specific assurance framework entries would be identified as part of the risk description.

EQUALITY AND DIVERSITY ISSUES:

	<i>Tick relevant box</i>
An Equality Impact Analysis/Assessment is not required for this report.	√
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

THE NHS CONSTITUTION:

This report supports the NHS pledge to staff and all aspects of the patients' rights.

NHS HULL CCG BOARD ASSURANCE FRAMEWORK

1. INTRODUCTION

The CCG has maintained an assurance framework as an essential part of its governance arrangements to ensure that the principal threats to achievement of the organisation's strategic aims and objectives are clearly identified, mitigated and monitored. In-year review allows the CCG Board and Integrated Audit and Governance Committee (IAGC) to maintain an appropriate focus on risks to the delivery of key objectives.

The Board Assurance Framework (BAF) provides an important source of assurance.

2. BACKGROUND

The enclosed BAF presents the re-cast Framework in the light of the establishment of the 2019/20 CCG strategic objectives, as well as the CCG board workshop which identified the key risks against these objectives.

The revised 2019/20 BAF has streamlined reporting elements incorporated in order to simplify what were complicated reporting arrangements in previous years and as highlighted in the previous board review.

3. UPDATES

The BAF comprises a total of 23 risks relating to the 5 strategic objectives of the CCG for 2019/20. This represents approximately 50% reduction in the number of risks to be tracked when compared to previous years.

The risk ratings within the BAF are broken down as follows:

Risk Category	Number of risks
Extreme	2
High	14
Moderate	7

Highest Rated Risks

Risk	Description	Risk Rating		
		Impact	Likelihood	Rating
1.5	- Workforce risks associated with integrated and joint commissioning	4 x 4 = 16		
3.1	- System-wide performance ratings resulting in a reliance on others to perform	4 x 4 = 16		

4. RECOMMENDATIONS

It is recommended that the Board approve the re-cast BAF and comment, as appropriate.