

Item: 10.3

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 14 MAY 2019

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 14 May 2019.

AUDIT

EXTERNAL AUDIT

Minute No. 6.1 DRAFT AUDIT COMPLETION REPORT

The Board can be assured that, from the findings of the audit of the financial statements for the year ending 31 March 2019, it was anticipated that NHS Hull CCG would be issued with an unqualified opinion with no exceptions to report.

Minute No. 6.2 CCG LETTER OF UNDERSTANDING OF MANAGEMENT PROCESSES AND ARRANGEMENTS FOR THE 2018/19 AUDIT

The Board can be assured that the CCG had robust management processes and arrangements in place for the 2018/19 Audit.

INTERNAL AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continued to represent appropriate coverage as part of the wider assurance framework.

The Board can be assured, from an audit of the Board Assurance Framework, that governance, risk management and control arrangements had provided **substantial assurance** that the risks identified were being managed effectively and that compliance with the control framework was found to be taking place.

The Board can be assured that an advisory audit of the CCG's Data Security & Protection (DSP) Toolkit had been undertaken to provide assurance that the CCG's annual DSP Toolkit declaration was properly supported by underlying processes, data and evidence to meet the ten Data Security Standards.

The Board can be assured that an advisory audit to evaluate the systems and controls in place in relation to the management of the Aligned Incentive Contract between East Riding of Yorkshire CCG (ERYCCG), Hull CCG (HCCG) and Hull & East Yorkshire Hospitals NHS Trust (HEYHT) had been undertaken jointly for the two CCG's.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that the CCG had achieved all its financial targets for the year. The overspends were managed through the use of underspends and the contingency reserve. Steps have been put in place as part of the financial planning and contracting round for 2018/19 in order to minimise these risks going forward.

GOVERNANCE

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK

The Board can be assured that, following discussion at a Board Development Session to be held on 24 May 2019, a new BAF would be developed based on the strategic objectives of the CCG for 2019/20 and associated risks.

Minute No. 9.4 DECLARATIONS OF INTEREST FOR COMMITTEE MEMBERS, EMPLOYEES AND RELEVANT OTHERS, BOARD MEMBERS AND COUNCIL OF MEMBERS

The Board can be assured that the CCG has received updated Declarations of Interest for Committee Members, Employees and Relevant Others, Board Members and Council of Members.

Minute No. 9.5 GIFTS AND HOSPITALITY REPORT

The Board can be assured that the CCG maintains a register of all declarations of gifts and hospitality which is regularly reviewed by the IAGC.

Minute No. 9.6 PROCUREMENT PANEL ASSURANCE UPDATE

The Board can be assured that correct procedures and policies were being followed in relation to procurement.

In relation to integrated commissioning and procurement, joint working with Hull City Council had continued to develop and identify opportunities to work together to achieve better value.

Moving forward there would be opportunities for procuring differently to secure the best service and best outcome, resulting in a move away from mandated competitive procurement towards partnership arrangements for transformation.

Minute No. 9.7 HEALTH AND WELLBEING GROUP ACTION PLAN

The Board can be assured that the Health and Wellbeing Group had continued to meet on a monthly basis with good representation from all directorates and the shared working group had been active in undertaking a lot of work against the Health and Wellbeing Action Plan and focused work around feedback from the staff survey.

Minute No. 9.8 HEALTH AND SAFETY POLICIES

The Board can be assured that the CCG regularly reviews and updates its Health and Safety policies. IAGC Members approved updated policies for: Display Screen Equipment, First Aid, Manual Handling and Fire Safety which had been revised to comply with relevant Health and Safety legislation. The updated policies would be shared with all staff and published on the CCG website.



Karen Marshall (Chair)
Integrated Audit and Governance Committee
19 March 2019