

**Item: 8.3**

<b>Report to:</b>	Primary Care Commissioning Committee
<b>Date of Meeting:</b>	26 April 2019
<b>Subject:</b>	Risk Report
<b>Presented by:</b>	Phil Davis, Strategic Lead - Primary Care
<b>Author:</b>	Michelle Longden Corporate Affairs Manager

**STATUS OF THE REPORT:**

To approve	<input type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input checked="" type="checkbox"/>
To consider	<input type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

**PURPOSE OF REPORT:**  
The purpose of this report is to brief the Primary Care Commissioning Committee on the primary care risks on the corporate risk register.

**RECOMMENDATIONS:**  
It is recommended that the Primary Care Commissioning Committee note or comment, where appropriate, on the relevant risks, controls and assurances within the risk register.

**REPORT EXEMPT FROM PUBLIC DISCLOSURE** No  Yes

If yes, grounds for exemption  
(FOIA or DPA section reference)

**CCG STRATEGIC OBJECTIVE**

The risks identified in the risk register need to be managed in order for the CCG to meet its strategic objectives.

<b>IMPLICATIONS:</b>	
Finance	The risk register contains key financial risks to the organisation
HR	Any HR risks identified would be placed directly onto the risk register
Quality	Some of the risks on the risk register link directly to quality areas
Safety	Some of the risks on the risk register link directly to areas of patient safety

**ENGAGEMENT:**

The risk register has been reviewed and updated by senior members of NHS Hull CCG.

**LEGAL ISSUES:**

All legal issues have been considered and if appropriate are addressed in the report.

**EQUALITY AND DIVERSITY ISSUES:**

	<i>Tick relevant box</i>
An Equality Impact Analysis/Assessment is not required for this report.	√
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

**THE NHS CONSTITUTION:**

This report supports the NHS pledge to staff and all aspects of the patients' rights.

# **RISK REGISTER**

## **1. INTRODUCTION**

The purpose of this report is to update the Primary Care Commissioning Committee on the primary care related risks on the risk register.

## **2. BACKGROUND**

Individual risk owners are responsible for updating, reviewing and managing their risks. The risk register is a standing agenda item at team meetings and the Health, Safety and Security Meetings for discussion. It is also considered on a bi-monthly basis at the Integrated Audit and Governance Committee, regularly to the Planning and Commissioning Committee and Quality and Performance Committee and biannually to the CCG Board to provide assurance on behalf of the organisation.

Risk owners are notified through datix that their risk is due for review and requested to log on to datix to review and update the risk ensuring that all controls and assurances are still valid or updating these as required. The Risk Team regularly check datix and send reminders to risk owners for any overdue updates.

## **3. CURRENT RISKS ON THE CCG RISK REGISTER**

There are currently 26 risks on the CCG risk register. Of these, 4 relate to primary care. At the request of the Committee the risks that have been rated as high risks (that is 8 or above) have been included within the report.

- updates to the risk register are highlighted in red.

The Primary Care Commissioning Committee are also asked to consider whether there are further risks they would recommend adding to the risk register in light of their committees work. A new risk can be added to datix by the relevant risk owner. Please contact Mike Napier, Associate Director of Corporate Affairs or Michelle Longden, Corporate Affairs Manager for support and advice, if required.

## **4. RECOMMENDATIONS**

It is recommended that the Primary Care Commissioning Committee note or comment, where appropriate, on the relevant risks, controls and assurances within the risk register.

## **Abbreviations List for Risk Register**

AIC	Aligned Incentive Contract
BCM	Business Continuity Management
CCG	Clinical Commissioning Group
CFO	Chief Finance Officer
CHCP CHC	City Health Care Partnership Community Interest Company
CMB	Contract Management
CQC	Care Quality Commission
CTR	Care and Treatment Reviews
CYP	Children and Young People
DCO	Designated Clinical Officer
E COLI	Escherichia Coli
DMO	Designated Medical Officer
E Riding	East Riding
EPRR	Emergency Preparedness, Resilience and Response
FOLS	Forensic Outreach Liaison Services
HCAI	Hospital acquired associated infection
HMC	Her Majesty's Inspector
Humber NHS FT	Humber National Health Service Foundation Trust
ICO	Information Commissioner's Office
KPI	Key Performance Indicator
LD	Learning Disabilities
MRSA	Methicillin-resistant Staphylococcus Aureus
NHSE	NHS England
NHSI	NHS England and NHS Improvement
PCC	Primary Care Commissioning
Q	Quarter
Q&P	Quality and Performance
QIPP	Quality, Innovation, Productivity and Prevention
SEND	Special educational needs and disability
SAF	Send Accountability Forum
SLT	Senior Leadership Team
STP	Sustainable Transformation Partnership
WSA	Written statement of action
QDG	Quality Delivery Group

