

Report to:	NHS Hull Clinical Commissioning Group Board
Date of Meeting:	22 March 2019
Subject:	Corporate Risk Register
Presented by:	Mike Napier, Associate Director of Corporate Affairs
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STATUS OF THE REPORT:

To approve	<input type="checkbox"/>	To endorse	<input type="checkbox"/>
To ratify	<input type="checkbox"/>	To discuss	<input type="checkbox"/>
To consider	<input checked="" type="checkbox"/>	For information	<input type="checkbox"/>
To note	<input type="checkbox"/>		

PURPOSE OF REPORT:
The purpose of this report is to brief Board Members on the current corporate risk register and describe the changes on the register in the interim period since previously reviewed by the Board.

RECOMMENDATIONS:
It is recommended that the Board Members note the updates provided and comment, where appropriate, on the adequacy of the controls, assurances and mitigations within the corporate risk register.

REPORT EXEMPT FROM PUBLIC DISCLOSURE No Yes

If yes, grounds for exemption
(FOIA or DPA section reference)

CCG STRATEGIC OBJECTIVE

Specific risks to achievement of the CCG's strategic objectives are set out within the Board Assurance Framework. The corporate risk register is regularly reviewed to establish whether any new risks emerge that relate to a strategic objective, in which case they transfer on to the BAF.

IMPLICATIONS:	
Finance	All risks are assessed for their capacity to specifically impact on finance, HR, quality and safety. Where a negative impact is identified this is set out within the specific risk narrative.
HR	
Quality	
Safety	

ENGAGEMENT:

Each risk on the corporate risk register is owned by a CCG senior officer. They are all subject to regular review by them as well as relevant teams and meetings.

LEGAL ISSUES:

Individual risks may highlight threats to achievement of the CCG's statutory duties. In such instances the implications and consequences.

EQUALITY AND DIVERSITY ISSUES:

	<i>Tick relevant box</i>
An Equality Impact Analysis/Assessment is not required for this report.	√
An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment.	
An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.	

THE NHS CONSTITUTION:

This report supports the NHS pledge to staff and all aspects of the patients' rights.

CORPORATE RISK REGISTER

1. INTRODUCTION

- 1.1. The purpose of this report is to brief Board Members on the current corporate risk register and describe the changes on the register in the interim period since previously reviewed by the Board.

2. BACKGROUND

- 2.1. The CCG maintains a comprehensive risk register sets out the full range of risks to achievement of CCG objectives. The corporate risk register comprises those risks on the risk register with a risk rating of high or extreme.
- 2.2. Individual risk owners are responsible for updating, reviewing and managing their risks. The risk register in its entirety is a standing agenda item at team meetings and the Health, Safety and Security Committee for discussion. It is also considered regularly at the main committees of the Board.
- 2.3. The corporate risk register is reviewed in detail at each meeting of the Integrated Audit and Governance Committee as well as bi-annually by the CCG Board.

3. ANALYSIS

- 3.1. There are currently 26 risks on the CCG risk register. Of these, 18 have a current risk rating of high or extreme (that is 8 or above) and are therefore included for Board consideration. The total number of risks has shown a net decrease of two over the previous twelve months.
- 3.2. Progress on the individual management of each risk is shown within the register. There has been an increase in the number of extreme rated risks on the register over the 12 month period, from two to three. These are as follows:
- **Risk 839:** *Waiting times for Children and Young People Autism – Assessment and Diagnosis times exceeds the national 18 week target.*
 - **Risk 902:** *CCG practices unable to maintain a resilient primary care workforce.*
 - **Risk 932:** *Paediatric speech and language service (SLT) – extensive waiting list for initial assessment and treatment.*
- 3.3. The net movement of risk ratings on the register remains low; over the previous six months 81% have maintained their rating, 13% have increased their rating and 6% have reduced their rating.

4. RECOMMENDATIONS

- 4.1. It is recommended that the Board Members note the updates provided and comment, where appropriate, on the adequacy of the controls, assurances and mitigations within the corporate risk register.