

Item 9.3

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 13 NOVEMBER 2018

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 13 November 2018.

AUDIT

Minute No. 6.1 AUDIT STRATEGY MEMORANDUM

The Board can be assured that Mazars, the External Auditors for Hull CCG, have issued an Audit Strategy Memorandum (ASM) which outlined the agreed External Audit Plan for Hull CCG for the 2018/19 financial year.

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continued to represent appropriate coverage as part of the wider assurance framework.

The Board can be assured that an audit of QIPP management systems and processes had provided **substantial assurance** that controls in relation to the establishment and operation of the 2018/19 QIPP Programme were operating adequately and effectively. No major issues had been identified and one low grade recommendation had been made

The Board can be assured that an audit of Provider Contract Management systems and processes had provided **substantial assurance** with regard to the effectiveness of controls in place and compliance with the control framework. No major issues had been identified and no recommendations had been made.

Minute No. 7.2 COUNTER FRAUD PROGRES REPORT

The Board can be assured by the counter fraud work that had been undertaken on behalf of Hull CCG in respect of the 2018/19 Counter Fraud Plan.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that the CCG was currently forecasting to achieve a balanced position against the in year allocation and there was therefore no impact on the CCG's historic surplus of £15.267m. This was in line with the 2018/19 financial plan submitted to NHS England.

The 2018/19 running cost allocation was £6.21m and the current forecast was that expenditure would be contained within this financial envelope.

Minute No. 8.2 REVIEW OF LOSSES AND SPECIAL PAYMENTS

The Board can be assured that appropriate systems and processes are in place to discuss and declare any losses reported and special payments made. None had been reported or made.

Minute No. 8.3 PRIMARY CARE PRESCRIBING REBATE OFFERS

The Board can be assured that the CCG has an agreed process in place to consider and approve any Primary Care Rebate Schemes for the CCG.

Following full consideration and subsequent recommendation by the Planning and Commissioning Committee on 2 December 2018, the Integrated Audit and Governance Committee formally approved the Primary Care Prescribing Rebate Schemes for Januvia (Sitagliptin) and Biquelle XL quetiapine MR tablets

GOVERNANCE

Minute No. 9.2 INFORMATION GOVERNANCE STEERING GROUP TERMS OF REFERENCE

The Board can be assured that the CCG had established an Information Steering Group to oversee and drive the Information Governance agenda and the implementation of the CCG Information Governance Framework which would report into the Integrated Audit and Governance Committee.

Minute No. 9.4 BOARD ASSURANCE FRAMEWORK

The Board can be assured that IAGC Members had considered and discussed the updates provided against the assessed risks on the Board Assurance Framework which related to the CCG's 2018/19 strategic objectives.

Minute No. 9.5 CORPORATE RISK REGISTER

The Board can be assured that systems and processes were in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

Minute No. 9.6 REVISED CCG CONSTITUTION

The Board can be assured that Integrated Audit and Governance Committee members had been briefed on the proposed changes incorporated in the new Hull CCG Constitution, and the process for authorisation and adoption

Minute No. 9.7 GIFTS AND HOSPITALITY REPORT

The Board can be assured that the CCG maintains a register of all declarations of gifts and hospitality which is regularly reviewed by the IAGC

Minute No. 9.10 POLICIES

The Board can be assured that the CCG regularly reviews and updates its policies in line with latest guidance. The IAGC have considered and approved the following revised policies which would be uploaded onto the CCG website and a link circulated to all CCG staff:

- On-Call Policy
- Acceptable Computer Use Policy
- Freedom on Information and Environmental Information Regulations Policy



Karen Marshall (Chair)
Integrated Audit and Governance Committee
13 November 2018