

Strategic Link	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	CCG Committee
To embed Patient and Public Involvement across the organisation and ensure that the CCG meets its statutory duty under 1422 of the Health and Social Care Act	915	There is significant patient and public opposition to plans for the development of new models of care including primary care at scale.	High Risk 8	High Risk 8	High Risk 12	Development of a Communications and Engagement plan for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub-group and the Primary Care Joint Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place	Adequate assurances in place	04/12/18 - Winter 2018 My City My Health My Care prepared. Engagement plan for Modality developed for proposed changes to services at New Hall, Faith House & Newland Group practices. Paper taken to November Overview & Scrutiny Committee and North Area Committee (Modality attended both with CCG support). Informal session held with OSC members 30/11/18 - commitment to utilise Area Committees as required for future proposed service changes etc. 11/10/18 - Engagement plans being developed where required - to be taken to November overview & Scrutiny Commission. 13/08/18 - Update provided to Health & Wellbeing Overview & Scrutiny Commission meeting on 15th June. Further updates included as part of Commission work-plan. Third edition of My City My Health My Care being planned for October with a focus on the ICC and Extended Access. 12/06/18 - Primary Care update paper being taken to June 2018 Health & Wellbeing Overview & Scrutiny Commission. Service specification for extended access incorporates responses to engagement work. Appropriate engagement plans being incorporated into West Hull Hub development.	08/02/2019	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	901	Lack of capacity/capability within CCG to deliver Strategic Commissioning Plan for Primary Care	High Risk 8	High Risk 8	High Risk 12	Additional CCG workforce to support primary care programme - Head of Commissioning - Integrated Delivery; Commissioning Manager with focus on primary care to be recruited. Establishment of delegated commissioning arrangements with NHS E with associated support of Assistant Primary Care Contracts Manager from NHS E. Support packages available to practice groupings. Establishment of Integrated Delivery Framework and support team.	Working relationships between Commissioning & Partnerships, Resources and Quality Teams in the CCG. Establishment of Primary Care Commissioning Committee. Development of Integrated Delivery Framework and Team.	NHS E working relationships and Primary Care Commissioning Committee.	Adequate controls in place	Adequate assurances in place	PD - 04/12/18 - Integrated Delivery Team continuing to support delivery of commissioning plan and Integrated Delivery Framework. Commissioning Manager post advertised and interviews scheduled for 19/12/18. PD - 11/10/18 - Commissioning Team restructure complete and process begun to recruit to vacancies including a Commissioning Manager post with primary care responsibility. CCG Project Support continues to support delivery of projects and provide support to practice groupings. PD - 13/08/18 - Named Leads continuing to work with and support practice groupings. Commissioning Team restructure includes capacity to further support primary care work. PD - 12/06/18 - Additional project support roles in place within CCG to support delivery of Integrated Delivery work programme. CCG Commissioning Team work programme focusing on Integrated Delivery work. Relationships between CCG Leads and groupings continuing to be developed. Integrated Delivery Support Team considering risks associated with work programme and individual groupings. Risk rating reduced PD - 17/04/18 - Integrated Delivery Support Team continues to develop and Leads are developing roles with groupings. 2018/19 Local Quality Premium Scheme in development for further consideration at Primary Care Commissioning Committee on 27/04/18.	08/02/2019	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	902	CCG practices unable to maintain a resilient primary care workforce	Extreme Risk 16	High Risk 12	Extreme Risk 16	Development of CCG primary care workforce strategy. Development of Strategic Commissioning Plan for Primary Care support to practice groupings for collaborative working to address workforce issues. Development of New Models of Care involving range of other job roles. Development of STP primary care workforce modelling as part of out of hospital care work-stream	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Adequate controls in place	Adequate assurances in place.	PD - 04/12/18 - The risk rating has been reviewed and raised to 16 following discussion at the October PCCC and other information the CCG is aware of regarding current workforce challenges within practices. The Apex Insights tool has been offered to practices for expressions of interest in the first instance. Edenbridge (provider of the tool) are attending the Provider Forum in January to provide further information to practices. PD - 11/10/18 - PAs in post within a number of practices. Taster weekend for Spanish GPs took place 27-30 September with 4 GPs visiting Hull. PD - 13/08/18 - PA posts supported by HEE and CCG being recruited to. Hull & ER taster weekend for international GP recruits taking place end of September. Bid to HEE for a Post CCT GP Fellowship successful recruitment to be progressed. PD - 12/06/18 - APEX workforce tool being commissioned by NHS England to assist in primary care workforce planning - will be available to all practices to utilise. CCG continues to support practices through a range of initiatives in relation to a range of staff groups. PD - 17/04/18 - GP International Recruitment scheme continues to progress - taster weekend in Hull planned for July 2018 following successful event in Scarborough. LWAB PA Ready Scheme launched and EOIs received from Hull practice groupings. Hull CCG supporting additional posts. CCG and grouping presence at PA Careers Fair in Hull held on 13/04/18.	08/02/2019	Davis, Phil	Primary Care Commissioning Committee
Delivery of Statutory Duties	931	CCG would not have an effective plan in place to support the community in the event of a cyber incident.	High Risk 9	New risk	High Risk 10	System in place for reporting incidents Awareness for staff Assurance process around support service/ GPs BCP to be further developed Additional workshop to be organised for CCG SMT Response process map has been shared with CCG Upgrade to windows 10 planned	Virus and security software regularly checked for appropriateness CCG and IT supplier have signed up for NHS Alerting mechanism Humber wide IT managers group set up (managed by CCG) to ensure minimum standards are set.	NHSD inform via reporting mechanism of security standard breaches.	Adequate controls in place	Further education required	31.10.18 - Risk added.	31/01/2019	Mitchell, John	Integrated Audit and Governance Committee, Primary Care Commissioning Committee

Improvement in Clinical Outcomes	933	There is a risk that practice nurses will not receive adequate training to undertake cervical screening. This is due to a lack of funding from NHS England / Public Health England. This could mean increased risk of undetected cervical cancers due to lack of trained staff.	High Risk 9	New risk	Extreme Risk 16	There has been some short term funding made available from NHS England / Health Education England. There is also a programme of training that has been established through the Advanced Training Practice in partnership with Hull CCG and Hull University. There has also been courses available at Huddersfield, Newcastle, Sheffield and Leeds Universities.	The training is reviewed at the Humber Coast and Vale Primary Care Workforce Group and through the screening and immunisation oversight group. This provides feedback to the CCG in order to identify gaps in delivery of the training and therefore allows the CCG to identify mitigating actions.	This has been raised to the NHS England Quality Surveillance Group by the bi-monthly returns and also through NHS England Quality Leads.	There are minimal places available, therefore demand is exceeding availability as this covers the whole of Yorkshire and the Humber area. Staff are also required to travel to the locations offering the courses which is proving problematic.		06/11/2018 - Discussions have taken place at the Humber Coast and Vale Workforce Group and the Education Director has raised this formally with Health Education England. Further courses have been provided at the various Universities in the Yorkshire and Humber area and non recurrent funding has been identified.	15/02/2019	Smyth, Mrs Sarah	Primary Care Commissioning Committee, Quality and Performance Committee
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