

CCG Strategic Risk Report - Primary Care Commissioning Committee - October 2018

Organisational Link	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	CCG Committee
To embed Patient and public involvement across the organisation and ensure that the CCG meets its statutory duty under 1422 of the Health and Social Care Act	915	There is significant patient and public opposition to plans for the development of new models of care including primary care at scale.	High Risk 8	High Risk 8	High Risk 12	Development of a Communications and Engagement plan for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub-group and the Primary Care Joint Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place.	Adequate assurances in place	11/10/18 - Engagement plans being developed where required - to be taken to November overview & Scrutiny Commission. 13/08/18 - Update provided to Health & Wellbeing Overview & Scrutiny Commission meeting on 15th June. Further updates included as part of Commissioning work-plan. Third edition of My City My Health My Care being planned for October with a focus on the ICC and Extended Access. 12/06/18 - Primary Care update paper being taken to June 2018 Health & Wellbeing Overview & Scrutiny Commission. Service specification for extended access incorporates responses to engagement work. Appropriate engagement plans being incorporated into West Hull Hub development. 17/04/18 - CCG paper taken to Health & Wellbeing Overview & Scrutiny Commission with results from online consultation evaluation - positively received. Results from Extended Access engagement work being used to inform plans and service specification.	03/12/2018	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	901	Lack of capacity/capability within CCG to deliver Strategic Commissioning Plan for Primary Care	High Risk 8	High Risk 8	High Risk 12	Additional CCG workforce to support primary care programme - Commissioning Lead - Primary Care, Director of New Models of Care. Establishment of joint commissioning arrangements with NHS E. Potential support package for practice groupings identified through Primary Care Commissioning (PCC).	Working relationships between Commissioning & Partnerships, Resources and Quality Teams in the CCG. Establishment of Primary Care Joint Commissioning Committee. Development of New Models of Care Team	NHS E working relationships and Primary Care Joint Commissioning Committee	Adequate controls in place.	Adequate assurances in place	PD - 11/10/18 - Commissioning Team restructure complete and process begun to recruit to vacancies including a Commissioning Manager post with primary care responsibility. CCG Project Support continues to support delivery of projects and provide support to practice groupings. PD - 13/08/18 - Named Leads continuing to work with and support practice groupings. Commissioning Team restructure includes capacity to further support primary care work. PD - 12/06/18 - Additional project support roles in place within CCG to support delivery of Integrated Delivery work programme. CCG Commissioning Team work programme focusing on Integrated Delivery work. Relationships between CCG Leads and groupings continuing to be developed. Integrated Delivery Support Team considering risks associated with work programme and individual groupings. Risk rating reduced	03/12/2018	Davis, Phil	Primary Care Commissioning Committee
Integrated Delivery	902	CCG practices unable to maintain a resilient primary care workforce	High Risk 12	High Risk 12	Extreme Risk 16	Development of CCG primary care workforce strategy. Development of Strategic Commissioning Plan for Primary Care - support to practice groupings for collaborative working to address workforce issues. Development of New Models of Care involving range of other job roles. Development of STP primary care workforce modelling as part of out of hospital care work-stream	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Adequate controls in place.	Adequate assurances in place.	PD - 11/10/18 - PAs in post within a number of practices. Taster weekend for Spanish GPs took place 27-30 September with 4 GPs visiting Hull. PD - 13/08/18 - PA posts supported by HEE and CCG being recruited to. Hull & ER taster weekend for International GP recruits taking place end of September. Bid to HEE for a Post CCT GP Fellowship successful - recruitment to be progressed. PD - 12/06/18 - APEX workforce tool being commissioned by NHS England to assist in primary care workforce planning - will be available to all practices to utilise. CCG continues to support practices through a range of initiatives in relation to a range of staff groups.	03/12/2018	Davis, Phil	Primary Care Commissioning Committee
Implement a revised Organisational Development Strategy	925	There is a successful cyber attack or other associated incident resulting in the failure of IT Infrastructure used by Hull CCG, resulting in the inability to access key corporate systems and disruption on business. In this Scenario it is likely that other partners would be affected requiring direct action by the CCG.	High Risk 8	High Risk 8	High Risk 8	Seek Assurance from eMBED that Virus checkers and Systems patching are activate and up to date. Ensure that staff are aware of business continuity processes. Ensure that on-call staff are aware of clinical disruption process. Ensuring that existing mobile devices are accessible to staff went access to the building may be limited.	Staff awareness of business continuity processes will reduce confusion and disruption during an incident, it would also assist in reducing single points of failure. On-call staff knowing what to do in the event of clinical service disruption would reduce delay in formulating a plan. To ensure that secure communication channels are available, mobile devices e.g. laptops and iPads should be accessible by staff at all times (not locked in drawers) to allow a rapid deployment at another safe site.	If Virus and Patching tools provided by eMBED are upto date then the risk of being attacked by known threats is mitigated and the risk of new threats significantly reduced.	If Cyber attack is on a national level, all NHS services maybe affected, there are very little local controls that can be put in place to stop this. Arranging contingency plans with LA would at least provide a method of centralised comms.	National scale issues are outside of our IT suppliers control	JM 11/06/18 Facilitator assigned for next workshop. New network procurement about to commence New NHS provided Windows comes with new centrally managed security systems - I'm hopeful that this will allow us to lower risk score when implemented JM 16/04/18 Cyber Workshop was very well attended. To be re-run at the highest Mgt level. CCG BCM Document is being revisited. JM 15.02.18 - A Humberwide Cyber Attack workshop has been organised for March, allowing for all partners to gain a better understanding of the implications of a cyber attack All IT leads have agreed minimum software patching standards Cross Partner Major incident use Wifi is being designed eMBED have changed their Virus protection Product to better protect user facing devices.	30/11/2018	Mitchell, John	Integrated Audit and Governance Committee, Primary Care Commissioning Committee