

## **INTEGRATED AUDIT AND GOVERNANCE COMMITTEE**

**MEETING HELD ON 10 JULY 2018**

### **ASSURANCE REPORT**

#### **INTRODUCTION**

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 10 July 2018.

#### **AUDIT**

##### **Minute No. 6.1 ANNUAL AUDIT LETTER NHS HULL CCG 2017/18**

The Board can be assured that the contents of the Annual Audit Letter 2017/18, which had been considered by the IAGC, and which summarised the findings and key issues arising from the 2017/18 audit at Hull CCG, had provided an unqualified opinion on the financial statements and had not identified any issues to report.

The IAGC recommended that the CCG Board formally approved the Annual Audit Letter 2017/18 for publication.

##### **Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT**

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continues to represent appropriate coverage as part of the wider assurance framework.

The Board can be assured that **substantial assurance** has been provided against the CCGs systems and processes in place for the following areas:

- Board Assurance Framework
- Safeguarding
- Commissioning Cycle
- Quality Governance

#### **FINANCIAL GOVERNANCE**

##### **Minute No. 8.1 FINANCIAL REPORT**

The Board can be assured that the CCG is currently forecasting to achieve a balanced position against the in year allocation and there is therefore no impact on the CCG's historic surplus of £15.267m, which is in line with the 2018/19 financial plan submitted to NHS England.

The 2018/19 running cost allocation is £6.21m and the current forecast is that expenditure will be contained within this financial envelope.

## **Minute No. 8.2 REVIEW OF LOSSES AND SPECIAL PAYMENTS**

The Board can be assured that appropriate systems and processes are in place to discuss and declare any losses reported and special payments made. None had been reported or made.

## **GOVERNANCE**

### **Minute No. 9.1 WAIVING OF PRIME FINANCIAL POLICIES**

The Board can be assured that appropriate processes are being followed in relation to the waiving of prime financial policies. An approved tender waiver in relation to the pilot of a social media approach for the 'Got Your Back' campaign had been endorsed by the IAGC.

### **Minute No. 9.2 BOARD ASSURANCE FRAMEWORK 2018/19**

The Board can be assured that the CCG has developed a Board Assurance Framework for 2018/19 which comprised of a total of 43 risks relating to the 12 strategic objectives of the CCG.

### **Minute No. 9.3 CORPORATE RISK REGISTER**

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register. A new risk (Risk 899) which related to the possibility of safeguarding issues of out of area learning disability clients if clinical case management hadn't been undertaken had been added to the Risk Register.

The IAGC approved the removal of Risk 913 which related to Primary Care and which would be replaced by Risk 930.

### **Minute No. 9.4 FREEDOM OF INFORMATION REQUESTS Q4 REPORT**

The Board can be assured that the CCG has a process in place to respond to all FOI requests received, and there had been no missed requests during the period 1 January 2018 to March 2018.

### **Minute No. 9.6 INDIVIDUAL FUNDING REQUESTS (IFR) ANNUAL REPORT 2017/18**

The Board can be assured that the CCG has a policy and process in place for the management of all Individual Funding Request (IFRs).

### **Minute No. 9.7 NHS HULL CCG HEALTH, SAFETY AND SECURITY ANNUAL REPORT 2017/18**

The Board can be assured that the CCG continues to fulfil its statutory duties in relation to Health, Safety and Security compliance.

### **Minute No. 9.8 NHS CONTINING HEALTHCARE (NHS-CHC) ANNUAL REPORT 2017/18**

The Board can be assured that the CCC continues to fulfil its statutory duties in relation to the NHS-CHC function.

### **Minute No. 9.13 COMMITTEE TERMS OF REFERENCE**

The Board can be assured that the terms of reference for all formal Committees had been subject to an annual review by the individual Committees to ensure that they still met the needs of the respective Committees and were then submitted to the IAGC for consideration. The IAGC would recommend that the Board approve the amended Committee terms of reference.



**Karen Marshall (Chair)**  
**Integrated Audit and Governance Committee**  
**10 July 2018**