

**Item: 8.3**

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| <b>Report to:</b>       | Primary Care Commissioning Committee       |
| <b>Date of Meeting:</b> | 29 June 2018                               |
| <b>Subject:</b>         | Risk Report                                |
| <b>Presented by:</b>    | Phil Davis, Head of Primary Care           |
| <b>Author:</b>          | Michelle Longden Corporate Affairs Manager |

**STATUS OF THE REPORT:**

|             |                          |                 |                                     |
|-------------|--------------------------|-----------------|-------------------------------------|
| To approve  | <input type="checkbox"/> | To endorse      | <input type="checkbox"/>            |
| To ratify   | <input type="checkbox"/> | To discuss      | <input checked="" type="checkbox"/> |
| To consider | <input type="checkbox"/> | For information | <input type="checkbox"/>            |
| To note     | <input type="checkbox"/> |                 |                                     |

**PURPOSE OF REPORT:**  
The purpose of this report is to brief the Primary Care Commissioning Committee on the primary care risks on the corporate risk register.

**RECOMMENDATIONS:**  
It is recommended that the Primary Care Commissioning Committee note or comment, where appropriate, on the relevant risks, controls and assurances within the risk register.

**REPORT EXEMPT FROM PUBLIC DISCLOSURE** No  Yes

If yes, grounds for exemption  
(FOIA or DPA section reference)

**CCG STRATEGIC OBJECTIVE**

The risks identified in the risk register need to be managed in order for the CCG to meet its strategic objectives.

| <b>IMPLICATIONS:</b> |   |
|----------------------|---|
| Finance              | The risk register contains key financial risks to the organisation              |
| HR                   | Any HR risks identified would be placed directly onto the risk register         |
| Quality              | Some of the risks on the risk register link directly to quality areas           |
| Safety               | Some of the risks on the risk register link directly to areas of patient safety |

**ENGAGEMENT:**

The risk register has been reviewed and updated by senior members of NHS Hull CCG.

**LEGAL ISSUES:**

All legal issues have been considered and if appropriate are addressed in the report.

**EQUALITY AND DIVERSITY ISSUES:**

|  | <i>Tick relevant box</i> |
|--|--------------------------|
| An Equality Impact Analysis/Assessment is not required for this report.  | √                        |
| An Equality Impact Analysis/Assessment has been completed and approved by the lead Director for Equality and Diversity. As a result of performing the analysis/assessment there are no actions arising from the analysis/assessment. |                          |
| An Equality Impact Analysis/Assessment has been completed and there are actions arising from the analysis/assessment and these are included in section xx in the enclosed report.  |                          |

**THE NHS CONSTITUTION:**

This report supports the NHS pledge to staff and all aspects of the patients' rights.

## RISK REGISTER

### 1. INTRODUCTION

The purpose of this report is to update the Primary Care Commissioning Committee on the primary care related risks on the risk register.

### 2. BACKGROUND

Individual risk owners are responsible for updating, reviewing and managing their risks. The risk register is a standing agenda item at team meetings and the Health, Safety and Security Meetings for discussion. It is also considered on a bi-monthly basis at the Integrated Audit and Governance Committee, Quarterly to the Planning and Commissioning Committee and Quality and Performance Committee and biannually to the CCG Board to provide assurance on behalf of the organisation.

Risk owners are notified through datix that their risk is due for review and requested to log on to datix to review and update the risk ensuring that all controls and assurances are still valid or updating these as required. The Risk Team regularly check datix and send reminders to risk owners for any overdue updates.

### 3. CURRENT RISKS ON THE CCG RISK REGISTER

There are currently 28 risks on the CCG risk register. Of these, 6 relate to primary care and are attached at Appendix 1. Four of the risks have been rated as high risks and two as moderate.

Updates to the risk register are highlighted in red.

The following risk has reduced the risk rating from a high risk 12 to an 8. Full details are set out in the register.

- *Risk 901 - Lack of capacity/capability within CCG to deliver Strategic Commissioning Plan for Primary Care.*

One further risk has been included on the risk register since the previous report. This is highlighted in blue. Full details are set out in the register but in summary.

- *Risk 930 - Practices may not remain part of a grouping and thereby become vulnerable/unsustainable as a result do not support delivery of the CCG Strategic Commissioning Plan for Primary Care and are unable to access General Practice Forward View and other funding streams which require working at scale.*

The following risk is too be submitted to the Integrated Audit and Governance Committee to be requested to be **closed**. This is highlighted in grey at the end of the register full details are set out in the register.

- *Risk 913 - General practices do not come together at sufficient scale to meet the minimum suggested requirement of 30-50,000 patients as outlined in MCP guidance.*

The Primary Care Commissioning Committee are also asked to consider whether there are further risks they would recommend adding to the risk register in light of their committees work. A new risk can be added to datix by the relevant risk owner. Please contact Mike Napier, Associate Director of Corporate Affairs or Michelle Longden, Corporate Affairs Manager for support and advice, if required.

#### **4. RECOMMENDATIONS**

It is recommended that the Primary Care Commissioning Committee note or comment, where appropriate, on the relevant risks, controls and assurances within the risk register.