

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 16 JANUARY 2018

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 16 January 2018

AUDIT

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continues to represent appropriate coverage as part of the wider assurance framework. A review of Corporate Governance and Risk Management had provided significant assurance in relation to the arrangements in place at Hull CGG.

Minute No. 7.2 UPDATE ON THE INDEPENDENT ASSURANCE WORK AROUND eMBED

The Board can be assured that eMBED had commissioned AuditOne to carry out a piece of work which would be used to provide a level of independent assurance on their systems, functions and the wider aspect of services provided. The report would assist in the preparation of the Annual Governance Statement.

Minute No. 7.3 COUNTER FRAUD PROGRESS REPORT JULY TO DECEMBER 2017

The Board can be assured that AuditOne had commissioned a full operational service assessment of the Counter Fraud provision. A new approach to delivering a counter fraud service would be carried out with Hull CCG as part of a newly created annual work plan process, alongside which risks identified in relation to fraud would be reflected in the Corporate Risk Register. There would be a dedicated resource level to carry out investigations and deal with matters quickly

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that, at this stage of the financial year, the current financial position indicated that the CCG would achieve all financial targets for the year.

Minute No. 8.2 REVIEW OF LOSSES AND SPECIAL PAYMENTS

The Board can be assured that appropriate systems and processes are in place to discuss and declare any losses reported and special payments made. None had been reported or made.

Minute No. 8.3 INTEGRATED FINANCIAL PLAN UPDATE

The Board can be assured that an Integrated Financial Plan with Hull City Council is being developed to support the delivery of a sustainable financial position across Hull and to ensure that the Hull '£' is being used as effectively and efficiently as possible. This included the creation of 'Integrated Cost Centres' and 'Integrated Subjective Codes'. Work was ongoing to develop an Integrated Financial Monitoring Report that would incorporate the performance against the BCF and aligned budgets.

An integrated Prioritisation Framework was also being developed that would outline a joint approach to decision making in relation to investment and disinvestment and would take account of any potential impact on any partner organisations.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

Minute No. 9.3 BOARD ASSURANCE FRAMEWORK

The Board can be assured that IAGC Members had considered and commented on the updates provided against the assessed risks on the Board Assurance Framework which was in line with the CCG's 2017/18 strategic objectives. Work on the development of a Board Assurance Framework for 2018/19 had commenced.

Minute No. 9.4 ANNUAL GOVERNANCE STATEMENT – PREPARATION UPDATE

The Board can be assured that work is on-going to prepare the Annual Governance Statement in line with the statutory timeframes. A month 9 Governance Statement Report required by NHS England has been approved by the IAGC prior to submission to NHS England.

Minute No. 9.5 FREEDOM OF INFORMATION (FoI) REPORT FOR QUARTER 2

The Board can be assured that the CCG has a process in place to record and respond to all FOI requests received. All requests processed from July to September 2017 had been completed within the statutory 20 working day deadline.

Minute No. 9.6.1 POLICIES

The Board can be assured that the CCC regularly reviews and updates its financial policies and procedures which support the organisation's Prime Financial Policies as set out by the CCG constitution.

The Board can be assured that the CCG has reviewed and updated its Information Governance policies to incorporate the requirements of the General Data Protection Regulation (GDPR) which comes into force on 25 May 2018.

Minute No. 9.7 UPDATED JOINT EMERGENCY PREPAREDNESS RESILIENCE AND RESPONSE BUSINESS CONTINUITY MANAGEMENT GROUP TERMS OF REFERENCE

The Board can be assured that the terms of reference of the Joint Emergency Preparedness, Resilience and Response/Business Continuity Management Group had been reviewed and updated.



Karen Marshall (Chair)
Integrated Audit and Governance Committee
16 January 2018