

**Item: 9.3****INTEGRATED AUDIT AND GOVERNANCE COMMITTEE****MEETING HELD ON 12 SEPTEMBER 2017****ASSURANCE REPORT****INTRODUCTION**

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 12 September 2017.

**AUDIT****Minute No. 6.1 EXTERNAL AUDIT PROGRESS REPORT**

The Board can be assured that Mazars, the newly appointed auditors for Hull CCG, had commenced planning in respect of the 2017/18 External Audit and an Audit Strategy Memorandum would be issued to the CCG which would set out the risks that had been identified and the programme of work planned to be carried out in response to those risks.

**Minute No. 7.1 INTERNAL AUDIT AND ANTI-CRIME PROGRESS REPORT**

The Board can be assured of satisfactory progress and outcomes in the delivery of the Internal Audit and Anti-Crime Plan 2017/18, which continued to represent appropriate coverage as part of the wider assurance framework.

**FINANCIAL GOVERNANCE****Minute No. 8.1 FINANCIAL REPORT**

The Board can be assured that the CCG is currently forecasting to achieve a balanced position against the in-year allocation and there is therefore no impact on the CCG's historic surplus of £11.640m, which is in line with the 2017/18 Financial Plan submitted to NHS England.

The 2017/18 running cost allocation was £6.223m and the current forecast is that expenditure will be contained within this financial envelope.

**Minute No. 8.2 REVIEW OF LOSSES AND SPECIAL PAYMENTS**

The Board can be assured that appropriate systems and processes are in place to discuss and declare any losses reported and special payments made.

**GOVERNANCE****Minute No. 9.2 CORPORATE RISK REGISTER**

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

**Minute No. 9.3 BOARD ASSURANCE FRAMEWORK**

The Board can be assured that IAGC Members had considered and approved the Board Assurance Framework which was in line with the CCG's 2017/18 strategic objectives and presented an updated position against the assessed risks.

**Minute No. 9.4 RISK ACTION PLAN 2017/18**

The Board can be assured that the CCG has a Risk Action Plan in place for 2017/18 which drew upon the aims set out within Hull CCG's Risk Management Strategy which is reviewed by the IAGC on a yearly basis.

**Minute No. 9.5 FREEDOM OF INFORMATION (FOI) REQUESTS Q1 REPORT**

The Board can be assured that the CCG has a process in place to respond to all FOI requests received, and there had been no missed requests during the period 1 April 2017 to 30 June 2017.

**Minute No. 9.6 DECLARATIONS OF INTEREST FOR BOARD MEMBERS, EMPLOYEES, COMMITTEE MEMBERS AND RELEVANT OTHERS 2017/18**

The Board can be assured that the CCG has a rigorous process in place to record and appropriately manage Declarations of Interest for all Board Members, Employees, Committee Members and Relevant others. There is a good level of awareness and relevance to the organisation.

**Minute No. 9.7 UPDATE ON AUDIT OF OUT OF AREA CASE MANAGEMENT**

The Board can be assured that work has been undertaken to address the key areas for improvement which had been highlighted from an internal audit review of Mental Health and Learning Disability Services in 2015/16 and can further be assured that there are now robust and sustainable systems and processes in place specific to NHS Hull CCG.

**Minute No. 9.8 TRANSFORMING CARE PROGRAMME UPDATE REPORT**

The Board can be assured that the Humber Transforming Care Board have developed a workplan for 2017/18 to address the progress against the Transforming Care Programme trajectory and redesign a sustainable local response to the national plan and to ensure that the right range of services are in place for people who have a learning disability to have local access to a range of care and support and reduce the reliance on inpatient services.

**Minute No. 9.9 IR35 OFF PAYROLL WORKER POLICY (FIN018)**

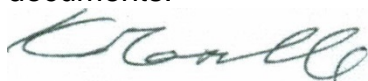
The Board can be assured that the CCG has a policy in place to ensure compliance with the IR35 Off Payroll Worker legislation.

**Minute No. 9.10 REDRESS POLICY (FIN019)**

The Board can be assured that the CCG has a policy in place which sets out the procedures to redress any loss incurred by the CCG should any fraudulent and/or criminal activity take place.

**Minute No. 9.11 UPDATED POLICY ON THE DEVELOPMENT AND MANAGEMENT OF ORGANISATIONAL POLICIES, PROCEDURES AND GUIDELINES**

The Board can be assured that the CCG has a policy in place which will ensure a consistent approach to the development and management of policies and procedural documents.



**Karen Marshall (Chair)**

**Integrated Audit and Governance Committee**

**12 September 2017**