

INTEGRATED AUDIT AND GOVERNANCE COMMITTEE

MEETING HELD ON 14 NOVEMBER 2017

ASSURANCE REPORT

INTRODUCTION

This is the Chair's assurance report to the Clinical Commissioning Group Board following the Integrated Audit and Governance Committee meeting held on 14 November 2017.

AUDIT

Minute No. 6.1 EXTERNAL AUDIT PROGRESS REPORT

The Board can be assured that Mazars, the External Auditors for Hull CCG, have completed initial planning and risk assessments for the 2017/18 audit.

Minute No. 6.2 AUDIT STRATEGY MEMORANDUM

The Board can be assured that Mazars, the External Auditors for Hull CCG, have produced an Audit Strategy Memorandum (ASM) which sets out their proposed approach in respect of the audit of NHS Hull CCG for the year ending 31 March 2018.

Minute No. 7.1 INTERNAL AUDIT PROGRESS REPORT

The Board can be assured of satisfactory progress and outcomes in delivering the Internal Audit Plan, which continues to represent appropriate coverage as part of the wider assurance framework. A review of Continuing Healthcare Management had provided significant assurance that processes in place are generally adequate and effective.

Minute No. 7.2 INTERNAL AUDIT CHARTER

The Board can be assured that an agreed Internal Audit Charter is in place between the CCG and AuditOne which sets out the audit process and responsibility of Hull CCG's internal audit provider.

FINANCIAL GOVERNANCE

Minute No. 8.1 FINANCIAL REPORT

The Board can be assured that, at this stage of the financial year, the current financial position indicated that the CCG would achieve all financial targets for the year.

Minute No. 8.2 REVIEW OF LOSSES AND SPECIAL PAYMENTS

The Board can be assured that appropriate systems and processes are in place to discuss and declare any losses reported and special payments made.

Minute No. 8.3 PRIMARY CARE PRESCRIBING REBATE OFFER NHS HULL CCG FOR TIOTROPIUM & OLODATEROL RESPIMAT (SPIOLTO) AND MEZOLAR MATRIX (FENTANYL) PAIN PATCH

The Board can be assured that all Primary Care Rebate Scheme offers are considered by the Planning and Commissioning Committee prior to submission to the Integrated Audit and Governance Committee for approval.

Two Primary Care Prescribing Rebate Offers; one from Boehringer Ingelheim for Tiotropium and Olodaterol Respimat (Spiolto), and one from Sandoz Limited for Mezolar Matrix (Fentanyl) Pain Patch were approved in principle by the IAGC, subject to the provision of further assurance sought from the Medicines Optimisation Pharmacist, NECS.

GOVERNANCE

Minute No. 9.2 CORPORATE RISK REGISTER

The Board can be assured that systems and processes are in place to identify and manage risks on the Risk Register. The IAGC are kept updated on any movements, provided with the opportunity to review and comment and approve the removal of any risks from the Risk Register.

IAGC Members had requested that Risk 922 which related to the Aligned Incentive Contract with Hull & East Yorkshire Hospitals Trust remain on the Corporate Risk Register until the end of the year until in order that it could be fully monitored and a level of assurance could be given.

Minute No. 9.3.1 REVISION OF FINANCIAL POLICIES AND PROCEDURES

The Board can be assured that the CCC regularly reviews and updates it's financial policies and procedures which support the organisation's Prime Financial Policies as set out by the CCG constitution.

Minute No. 9.3.2 INFORMATION GOVERNANCE (IG) POLICIES

The Board can be assured that the CCG has reviewed and updated it's Information Governance policies to incorporate the requirements of the General Data Protection Regulation (GDPR) which comes into force on 25 May 2018.

Minute No. 9.4 PROCUREMENT PANEL ASSURANCE UPDATE

The Board can be assured that correct procedures and policies are being followed in relation to procurement.

The CCG would continue to use procurement where it was needed; however there is a move towards incentivising integration from a provider perspective as well as a lot of joint working with Hull City Council to establish an integrated delivery system where there is a joint interest.

Minute No. 9.6 INFORMATION GOVERNANCE WORK PROGRAMME 2017/18

The Board can be assured that the CCG has an updated Information Governance work programme in place in order to meet the organisation's statutory requirements with respect to Information Governance, including the obligations of the Information Governance Toolkit.

**Minute No. 9.7 EMERGENCY PREPAREDNESS RESILIENCE AND RESPONSE
BUSINESS CONTINUITY MANAGEMENT PLAN 2017/18**

The Board can be assured that the CCG has an Emergency Preparedness Resilience and Response Business Continuity Management Plan in place for 2017/18.



**Karen Marshall (Chair)
Integrated Audit and Governance Committee
14 November 2017**