

NHS HULL CLINICAL COMMISSIONING GROUP COMMITTEE (CCGC)

INTEGRATED AUDIT AND GOVERNANCE GROUP

19 MARCH 2013

ASSURANCE REPORT

AUDIT

Item 7 **EXTERNAL AUDIT PLAN PROGRESS REPORT**

The Board can be assured that a common framework and set of principles is being used for determining Continuing Healthcare provisions.

Item 8.1 **INTERNAL AUDIT AND COUNTER FRAUD PROGRESS REPORT**

The Board:

- (i) can be assured of satisfactory progress against the 2012/13 NHS Hull Internal Audit Plan and that agreed actions for improvement are being implemented;
- (ii) can be assured of satisfactory progress in delivering the counter fraud plan and of the process and outcome of investigations, which continue to represent appropriate coverage as part of the wider assurance framework;

Significant assurance has been given to the following Internal Audit Reports finalised since the last meeting:

- Main Accounting System
- Accounts Receivable (Debt Management)
- Travel & Sundry Expenses
- Fixed Assets

Concern was expressed that the QoF visits had not taken place and members requested that practices be selected and visit dates established as a matter of urgency.

Item 8.3 **ECAC INTERNAL AUDIT CHARTER**

The Board can assured that an appropriate charter (and underpinning SLA) is in place between the CCG and East Coast Audit Consortium.

Item 8.4 **ECAC INDEPENDENT EXTERNAL ASSESSMENT**

The Board can be assured from the recent independent peer review that ECAC meets required Internal Audit Standards and is implementing recommended improvements.

- Item 9.1 **REVIEW OF SERVICE LEVEL AGREEMENTS/CONTRACTS**
- The Board can be assured that good progress is being made to finalise Service Level Agreements and Contracts.
- Item 9.3 **REVIEW OF OUTSTANDING DEBTOR AND CREDITOR BALANCES**
- The Board can be assured that balances continue to be managed giving confidence that financial control mechanisms continue to operate robustly.
- Item 9.4 **BUSINESS INTELLIGENCE REPORT REPORT**
- The Board can be assured that forecasts continue to indicate that all financial targets are on track to be delivered and that financial management and control continues to be managed robustly throughout the transition.
- Item 9.5 **ACCOUNTS PLAN AND TIMETABLE**
- The Board can be assured that the Annual Accounts close-down timetable for 2012/13 is on schedule and will be delivered to the required timescales and quality.

GOVERNANCE

- Item 10.1 **NHS HULL DISASTER RECOVERY POLICY**
- The Board can be assured that the Disaster Recovery Policy for NHS Hull be approved by the CCGC.
- Item 10.2 **CSU STATEMENT OF READINESS**
- The Board can be assured by the work carried out in the development of the North Yorkshire and Humber Commissioning Support Unit and positive feedback from the checkpoint process, prior to SLA commencement with CCGs from 1 April 2013.
- Item 10.4 **REVIEW OF COMMITTEE ASSURANCE FRAMEWORK**
- The Board can be assured that systems and process are in place with regard to the development of the CAF in readiness for the establishment of the new organisation.
- Item 10.5 **HEALTH AND SAFETY**
- The Board can be assured that systems and process are in place to effectively manage all issues of health and safety compliance within the organisation and mitigate key risks.

Item 10.6 **CLAIMS**

The Board can be assured in relation to the management of claims.

Item 10.8 **EXCEPTIONAL TREATMENTS**

The Board can be assured that more robust processes are in place to manage Exceptional Treatments

Item 10.11 **RAPID RESPONSE REVIEW POLICY**

The Board can be assured that there is a Rapid Response Review policy and process in place