

CCG Risk Report - April 2017

Organisational Link	ID	Risk Description	Current risk rating	Previous risk rating	Initial risk rating	Key controls	Internal assurances	External assurances	Details of gaps in controls	Details of gaps in assurances	Progress	Review date	Risk Owner	Strategic Aim
Any other risks	912	NHS England have commissioned Primary Care Services from CAPITA which has resulted in delays in the transfer of patient records and patient registration. The GPs will not be able to access the patients history when the records are not received or the registration or removal is not processed.	Extreme Risk 20	Extreme Risk 20	Extreme Risk 15	Reputational visit to CCG possible incidents and complaints. Risks to CCG as GPs unable to access patient records GP not able to see previous assessment, not have screening reminders, medication, allergies etc.	DATIX Primary Care Quality and Performance Sub Committee Primary Care Joint Commissioning Committee Quality and Performance Committee	NHS England	Adequate controls in place.	Adequate assurances in place.	29/03/2017 - LS report extracted from data detailing number of incidents reported by primary care and passed to NHSE. To continue to monitor number of incidents reported on Datix. Obtain update from NHSE and feed into Primary Care Joint Commissioning Committee. 6/2/17 KM - Integrated and Governance Committee, it was requested that this risk be removed as a Quality and Performance Committee Risk and be placed within the Primary Care Joint Commissioning Committee Risks only.	28/04/2017	Smyth, Mrs Sarah	Primary Care Joint Commissioning Committee
Develop a Blue Print for the provider landscape required to deliver the 2020 vision	913	General practices do not come together at sufficient scale to meet the minimum suggested requirement of 30-50,000 patients as outlined in MCP guidance.	High Risk 12	High Risk 12	High Risk 12	New Models of Care programme is addressing the need to develop primary care at scale including support packages for practices wishing to explore how they can work together. A Provider Forum has been established to support practices develop provider organisations at scale. Learning from Vanguard sites is shared with practices.	Regular reports to the Primary Care Joint Commissioning Committee	Working to deliver the requirements and policy direction as set out in the General Practice Forward View (April 2016) and MCP emerging care model and contract framework (July 2016).	Adequate controls in place.	Adequate assurances in place.	PD - 16/02/17 - Modality Hull established with c48k patients currently. Responses to CCG invitation for proposals for transformation monies include Modality Hull covering c22k patients, New North Grouping covering c55k patients, East Grouping c42k. Proposals anticipated from Hull Health Forward, c32k patients, and CHCP, c35k patients. Total of 256k patients (out of c295k) covered by proposals. PD - 13/02/17 - North 2 grouping continuing to develop. Hull Health Forward Confederation established covering c. 32,000 patients. Other practice discussions on-going regarding working together. APMS procurement evaluation of tenders completed and standstill letters gone to successful bidders.	16/06/2017	Davis, Phil	Primary Care Joint Commissioning Committee
Develop a Blue Print for the provider landscape required to deliver the 2020 vision	914	Lack of a capable provider(s) to develop a Multi-speciality Community Provider.	High Risk 12	High Risk 12	High Risk 12	New Models of Care programme and Sustainable Transformation Plan	Reports to Primary Care Joint Commissioning Committee and through Sustainable Transformation Plan out of hospital care work-stream.	MCP emerging care model and contract framework published July 2016.	Adequate controls in place.	Adequate assurances in place.	PD - 13/04/17 - Initial focus of work to be on integrated delivery rather than organisational form of an MCP. PD - 13/02/17 - Establishment of MCP identified as key work-stream in Hull Place Based Plan as part of STP. MCP presentation and discussion held at Provider Forum on 09/02/2017. Guidance published by NHS England being considered. PD - 02/12/16 - Outline MCP Development programme established including draft Memorandum of Understanding and Terms of Reference for an MCP Programme Board. Discussions held with procurement specialists regarding experience in developing MCP model in Wakefield Vanguard site.	17/06/2017	Davis, Phil	Primary Care Joint Commissioning Committee
Develop a Blue Print for the provider landscape required to deliver the 2020 vision	915	There is significant patient and public opposition to plans for the development of new models of care including primary care at scale.	High Risk 12	High Risk 12	High Risk 12	Development of a Communications and Engagement plan for the CCG Primary Care Blueprint.	Reports to the Communications and Engagement sub-group and the Primary Care Joint Commissioning Committee.	Regular reports and consultation with the Hull City Council Health and Wellbeing Overview and Scrutiny Commission.	Adequate controls in place.	Adequate assurances in place.	18/04/17 - ND Delivering the message at scale - Work is underway within the Communications & Engagement team to support Patient Participation Groups (PPGs) across the city with the aim to develop a support network across the PPGs. Support will be developed at grouping level. The Peoples Panel will also be used as a mechanism to engage with patients building on the resources we already in place across the city. One of the groupings within the city (Modality) has held public information events which has further supported/highlighted the message of practices working at scale and delivering New Models of Care. Digital and Telephony Solutions - A new post has been developed and recruited to which will help support and manage the communications and engagement in relation to the new digital and telephone solutions being implemented within practices across the city. This role will support both generic citywide communications as well as practice/grouping level.	28/04/2017	Davis, Phil	Primary Care Joint Commissioning Committee
Develop a Blue Print for the provider landscape required to deliver the 2020 vision	901	Lack of capacity/capability within CCG to deliver Strategic Commissioning Plan for Primary Care	High Risk 12	High Risk 12	High Risk 12	Additional CCG workforce to support primary care programme - Commissioning Lead - Primary Care, Director of New Models of Care. Establishment of joint commissioning arrangements with NHS E. Potential support package for practice groupings identified through Primary Care Commissioning (PCC).	Working relationships between Commissioning & Partnerships, Resources and Quality Teams in the CCG. Establishment of Primary Care Joint Commissioning Committee. Development of New Models of Care Team	NHS E working relationships and Primary Care Joint Commissioning Committee	Adequate assurances in place.	Adequate assurances in place	PD - 13/04/16 - Arrangements agreed with NHS E for support in delegated commissioning PD - 12/02/17 - Capacity of team to be reduced from April 2017 as Director of New Models of Care secondment ending - risk score increased to 12. Discussions on-going regarding NHS England support for delegated commissioning arrangements. PD - 02/12/16 - Additional New Models of Care Team commissioning lead starting January 2017. Proposed for moving to delegated commissioning submitted with discussion taking place regarding NHS England support. PD - 13/10/2016 - New Models of Care work programme further developed. Recruitment to a 12 month Commissioning Leads post taken place.	16/06/2017	Davis, Phil	Primary Care Joint Commissioning Committee

Develop a Blue Print for the provider landscape required to deliver the 2020 vision	902	CCG practices unable to maintain a resilient primary care workforce	High Risk 12	High Risk 12	Extreme Risk 16	<p>Development of CCG primary care workforce strategy.</p> <p>Development of Strategic Commissioning Plan for Primary Care - support to practice groupings for collaborative working to address workforce issues.</p> <p>Development of New Models of Care involving range of other job roles.</p> <p>Development of STP primary care workforce modelling as part of out of hospital care work-stream</p>	Progress in implementing primary care workforce strategy will be reported to Primary Care Joint Commissioning Committee. STP Strategic Partnership Board to oversee out of hospital care work-stream.	External support for practice groupings to cover support for addressing workforce challenges	Adequate controls in place.	Adequate assurances in place.	<p>PD - 13/04/17 - Council of Members positive regarding scope for Physician Associates working in primary care - potential scheme, similar to clinical pharmacists scheme, to be developed to support practices develop posts.</p> <p>PD - 12/02/17 - CCG participating in International GP Recruitment Scheme across STP footprint. Hull identified as pilot site for GP Career Plus scheme to support retention in the workforce of GPs considering retirement. Presentation to Council of Members re Physician Associate roles planned for March 2017 meeting.</p> <p>PD - 02/12/16 - STP wide primary care workforce meeting planned to further develop primary care workforce model. National monies to be used to support clinical coding training for practice admin staff.</p>	16/06/2017	Davis, Phil	Primary Care Joint Commissioning Committee
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